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# Focusing in Linear Meta Logic

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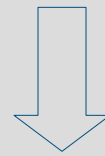
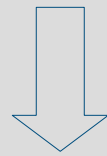
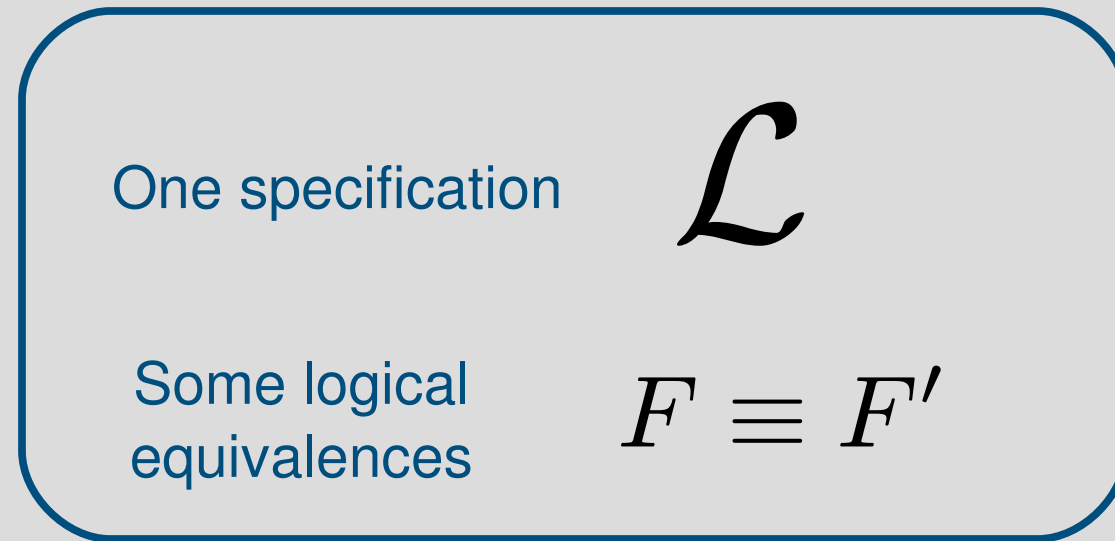
15<sup>th</sup> of August, 2008

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# Agenda

- **Overview**
- Linear Logic and Focusing
- Encoding Systems
- Results
- Conclusions and Future Works

# Overview



Several  
Systems

Sequent  
Calculus

Natural  
Deduction

Tableaux  
Systems

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# Linear Logic - Basics

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$\wp$	$1$	
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$!$	$?$	exponentials

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## de Morgan dualities

$$\begin{array}{cc} \otimes / \wp & \oplus / \& \\ ? / ! & 1 / 0 \end{array}$$

$A \multimap B$  denotes  $B \wp A^\perp$

$\neg B \implies$  Negation normal form

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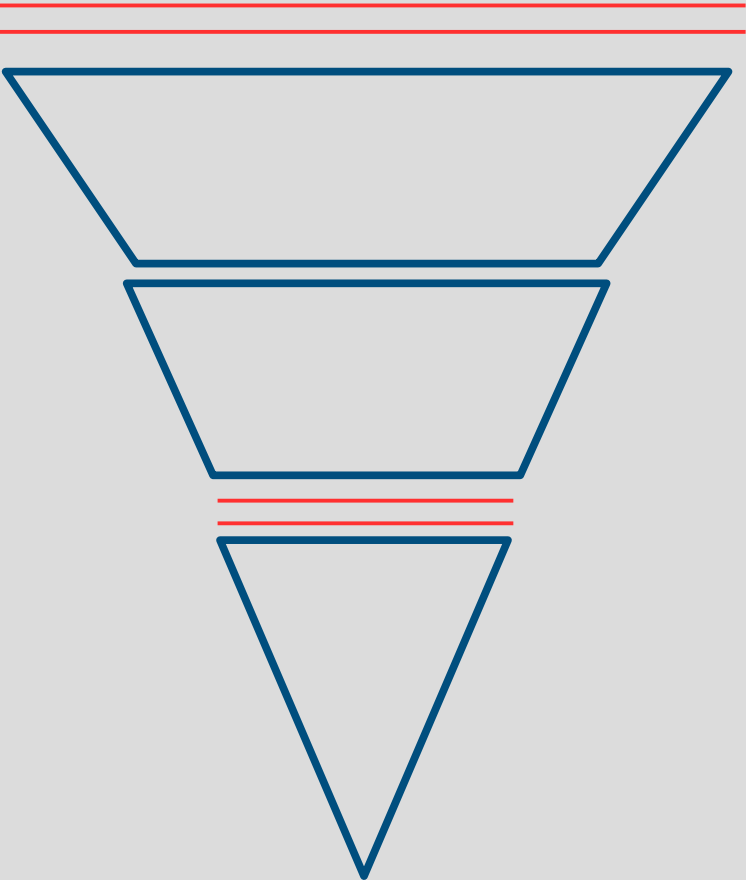
$$B \multimap C, \Delta \vdash \Gamma \implies \vdash C^\perp \otimes B, \Delta^\perp, \Gamma$$



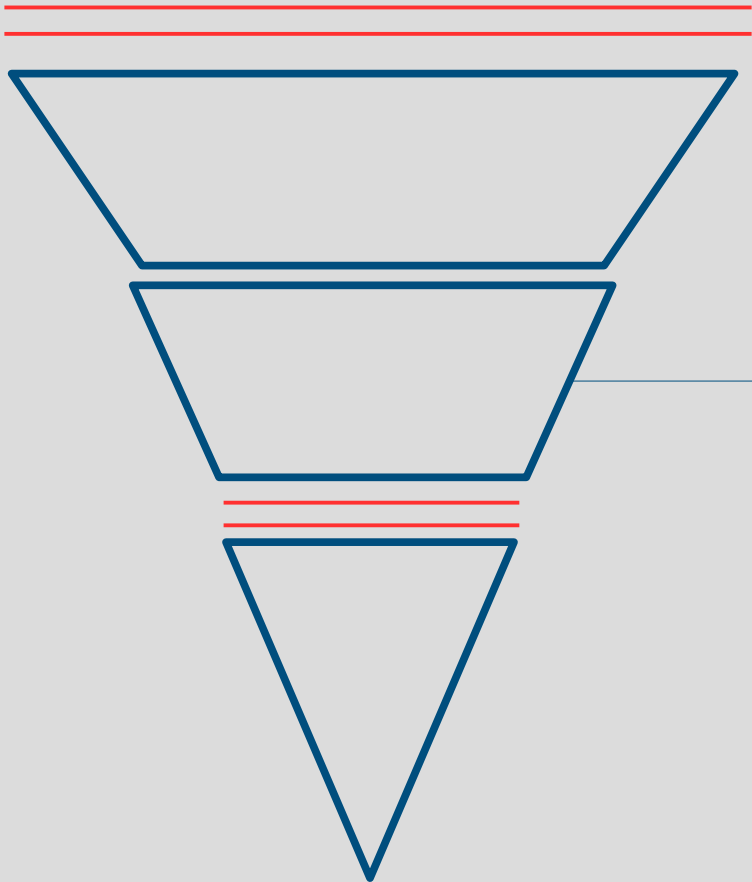
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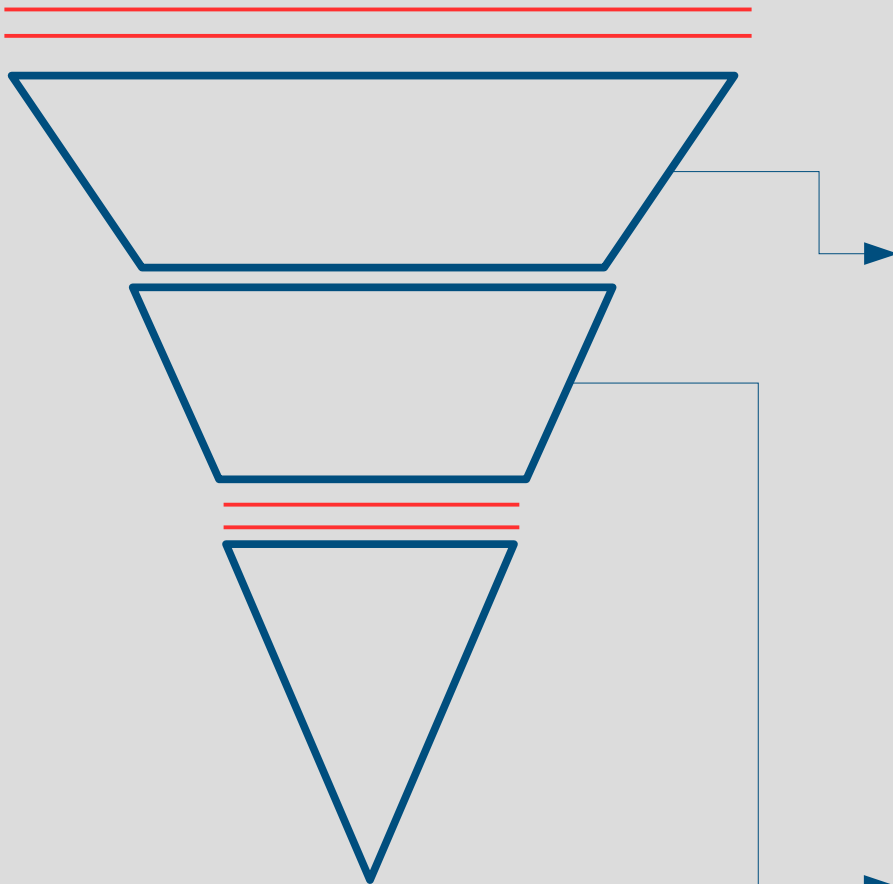
# Focusing



**Negative Phase** - All invertible rules are applied eagerly

$$\frac{\vdash \Theta : \Gamma \uparrow L, F, G}{\vdash \Theta : \Gamma \uparrow L, F \wp G} [\wp]$$

# Focusing



**Positive Phase** – One formula is focused on

$$\frac{\vdash \Theta : \Gamma \Downarrow P}{\vdash \Theta : \Gamma, P \Uparrow} [D_1]$$

**Focusing persists**

$$\frac{\vdash \Theta : \Gamma \Downarrow F \quad \vdash \Theta : \Gamma' \Downarrow G}{\vdash \Theta : \Gamma, \Gamma' \Downarrow F \otimes G} [\otimes]$$

**Negative Phase** - All invertible rules are applied eagerly

$$\frac{\vdash \Theta : \Gamma \Uparrow L, F, G}{\vdash \Theta : \Gamma \Uparrow L, F \wp G} [\wp]$$

## Focusing Basics

$A \& B, A \wp B, \perp, \top, ?B, \forall x B$

Negative Formulas

# Focusing Basics

$$A \& B, A \wp B, \perp, \top, ?B, \forall x B$$

## Negative Formulas

$$\frac{\vdash \Theta : \Gamma \uparrow L}{\vdash \Theta : \Gamma \uparrow L, \perp} [\perp]$$

$$\frac{\vdash \Theta : \Gamma \uparrow L, F, G}{\vdash \Theta : \Gamma \uparrow L, F \wp G} [\wp]$$

$$\frac{\vdash \Theta, F : \Gamma \uparrow L}{\vdash \Theta : \Gamma \uparrow L, ?F} [?]$$

$$\frac{}{\vdash \Theta : \Gamma \uparrow L, \top} [\top]$$

$$\frac{\vdash \Theta : \Gamma \uparrow L, F \quad \vdash \Theta : \Gamma \uparrow L, G}{\vdash \Theta : \Gamma \uparrow L, F \& G} [\&]$$

$$\frac{\vdash \Theta : \Gamma \uparrow L, F[c/x]}{\vdash \Theta : \Gamma \uparrow L, \forall x F} [\forall]$$

All negative rules are invertible

# Focusing Basics

$$A \otimes B, A \oplus B, 1, ! B, \exists x B$$

Positive Formulas

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Positive Formulas

$$\frac{}{\vdash \Theta : \Downarrow 1} [1] \quad \frac{\vdash \Theta : \Gamma \Downarrow F \quad \vdash \Theta : \Gamma' \Downarrow G}{\vdash \Theta : \Gamma, \Gamma' \Downarrow F \otimes G} [\otimes] \quad \frac{\vdash \Theta : \Uparrow F}{\vdash \Theta : \Downarrow !F} [!]$$

$$\frac{\vdash \Theta : \Gamma \Downarrow F}{\vdash \Theta : \Gamma \Downarrow F \oplus G} [\oplus_l] \quad \frac{\vdash \Theta : \Gamma \Downarrow G}{\vdash \Theta : \Gamma \Downarrow F \oplus G} [\oplus_r] \quad \frac{\vdash \Theta, F : \Gamma \Downarrow F[t/x]}{\vdash \Theta : \Gamma \Downarrow \exists x F} [\exists]$$

$$\frac{\vdash \Theta : \Gamma \Downarrow P}{\vdash \Theta : \Gamma, P \Uparrow} [D_1] \quad \frac{\vdash \Theta, P : \Gamma \Downarrow P}{\vdash \Theta, P : \Gamma \Uparrow} [D_2] \quad \frac{\vdash \Theta : \Gamma \Uparrow N}{\vdash \Theta : \Gamma \Downarrow N} [R \Downarrow] \quad \frac{\vdash \Theta : \Gamma, S \Uparrow L}{\vdash \Theta : \Gamma \Uparrow L, S} [R \Uparrow]$$

Positive rules are not necessarily invertible.



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$$\overline{\vdash \Theta : A_p^\perp \Downarrow A_p} \quad [I_1]$$

$$\overline{\vdash \Theta, A_p^\perp : \Downarrow A_p} \quad [I_2]$$

The **Focusing Theorem** states that a formula is provable in the focused system iff it is provable in linear logic. **Does not matter** how we assign the polarity of literals.

# Playing with polarities

## Fibonacci Program

$$\text{fib}(0, 0) \wedge \text{fib}(1, 1) \wedge$$
$$\forall n, f, f' [\text{fib}(n, f) \supset \text{fib}(n + 1, f') \supset \text{fib}(n + 2, f + f')].$$

## To prove

$$\Gamma \longrightarrow \text{fib}(n, f_n).$$

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there are infinitely many proofs and the smallest one is of **linear** size in  **$n$**  (program-directed, forward-chaining).

While choices in the polarization of atoms **do not affect provability**, it can have important consequences on the **shape of proofs**.

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# Encoding Logics

We consider only (first-order) minimal, intuitionistic and classical object logics.

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## Encoding Formulas

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- Sequent Calculus – Left / Right
- Natural Deduction – Hyp / Con
- Tableaux – Neg / Pos

ML

$[\cdot]$   $[\cdot]$   $\text{form} \rightarrow o$

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## Encoding Sequents

OL

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$$B_1, \dots, B_n \vdash C_1, \dots, C_m$$

ML

$$[\cdot] \quad [\cdot] \quad \text{form} \rightarrow o$$

$$\vdash [B_1], \dots, [B_n], [C_1], \dots, [C_m]$$

# Theory $\mathcal{L}$ with the meaning of connectives – Existential Closure of

$(\Rightarrow_L)$	$\lfloor A \Rightarrow B \rfloor^\perp \otimes (\lceil A \rceil \otimes \lfloor B \rfloor)$	$(\Rightarrow_R)$	$\lceil A \Rightarrow B \rceil^\perp \otimes (\lfloor A \rfloor \wp \lceil B \rceil)$
$(\wedge_L)$	$\lfloor A \wedge B \rfloor^\perp \otimes (\lfloor A \rfloor \oplus \lfloor B \rfloor)$	$(\wedge_R)$	$\lceil A \wedge B \rceil^\perp \otimes (\lceil A \rceil \& \lceil B \rceil)$
$(\forall_L)$	$\lfloor \forall B \rfloor^\perp \otimes \lfloor Bx \rfloor$	$(\forall_R)$	$\lceil \forall B \rceil^\perp \otimes \forall x \lceil Bx \rceil$
$(\perp_L)$	$\lfloor \perp \rfloor^\perp$	$(t_R)$	$\lceil t \rceil^\perp \otimes \top$

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$(\wedge_L)$ $\lfloor A \wedge B \rfloor^\perp \otimes (\lfloor A \rfloor \oplus \lfloor B \rfloor)$	$(\wedge_R)$ $\lceil A \wedge B \rceil^\perp \otimes (\lceil A \rceil \& \lceil B \rceil)$
$(\forall_L)$ $\lfloor \forall B \rfloor^\perp \otimes \lfloor Bx \rfloor$	$(\forall_R)$ $\lceil \forall B \rceil^\perp \otimes \forall x \lceil Bx \rceil$
$(\perp_L)$ $\lfloor \perp \rfloor^\perp$	$(t_R)$ $\lceil t \rceil^\perp \otimes \top$

and the structural and identity rules

$(\mathbf{Id}_1)$ $\lfloor B \rfloor^\perp \otimes \lceil B \rceil^\perp$	$(\mathbf{Id}_2)$ $\lfloor B \rfloor \otimes \lceil B \rceil$
$(\mathbf{Str}_L)$ $\lfloor B \rfloor^\perp \otimes ?\lfloor B \rfloor$	$(\mathbf{Str}_R)$ $\lceil B \rceil^\perp \otimes ?\lceil B \rceil$
$(W_R)$ $\lceil C \rceil^\perp \otimes \perp$	

Duality of the  $\lfloor \cdot \rfloor$  and  $\lceil \cdot \rceil$  atoms

$$\vdash \forall B(\lceil B \rceil \equiv \lfloor B \rfloor^\perp) \ \& \ \forall B(\lfloor B \rfloor \equiv \lceil B \rceil^\perp), \mathbf{Id}_1, \mathbf{Id}_2$$

with  $\mathbf{Str}_L$  and  $\mathbf{Str}_R$  we prove the equivalences:

$$\lfloor B \rfloor \equiv ?\lfloor B \rfloor \ \text{and} \ \lceil B \rceil \equiv ?\lceil B \rceil$$

# Levels of Adequacy

We identify three levels of adequacy:

- **Relative completeness:** comparisons deal only with provability: the two systems have the same theorems.
- **Full completeness of proofs:** comparisons deal with proof objects: the proofs of a given formula are in one-to-one correspondence with proofs in another system.
- **Full completeness of derivations:** comparisons deal with derivations (*i.e.*, open proofs, such as inference rules themselves): the derivations in one system are in one-to-one correspondence with those in another system.

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- **Full completeness of derivations:** comparisons deal with derivations (*i.e.*, open proofs, such as inference rules themselves): the derivations in one system are in one-to-one correspondence with those in another system.

We try for the adequacy on the **level of derivations**, but sometimes we settle for the **level of proofs**.



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# Sequent Calculus

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if all  $[\cdot]$  and  $\lceil \cdot \rceil$  (meta-level) atoms are  
**negative**

- 1)  $\Gamma \vdash_{lm} C$  iff  $\vdash \mathcal{L}_{lm}, [\Gamma] : \lceil C \rceil \uparrow$
- 2)  $\Gamma \vdash_{lj} C$  iff  $\vdash \mathcal{L}_{lj}, [\Gamma] : \lceil C \rceil \uparrow$
- 3)  $\Gamma \vdash_{lk} \Delta$  iff  $\vdash \mathcal{L}_{lk}, [\Gamma], \lceil \Delta \rceil : \uparrow$

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$$\mathcal{L}_{lk} = \mathcal{L} \cup \{\mathbf{Id}_1, \mathbf{Id}_2, \mathbf{Str}_L, \mathbf{Str}_R\},$$

$$\mathcal{L}_{lm} = \mathcal{L} \cup \{\mathbf{Id}_1, \mathbf{Id}_2, \mathbf{Str}_L, \Rightarrow'_L\} \setminus \{\perp_L, \Rightarrow_L\},$$

$$\mathcal{L}_{lj} = \mathcal{L} \cup \{\mathbf{Id}_1, \mathbf{Id}_2, \mathbf{Str}_L, \Rightarrow'_L, W_R\} \setminus \{\Rightarrow_L\}, \text{ and}$$

$$\Rightarrow'_L \text{ is } ?\exists A \exists B [ [A \Rightarrow B]^\perp \otimes (![A] \otimes [B]) ]$$

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$$\Rightarrow'_L \text{ is } ?\exists A \exists B [ [A \Rightarrow B]^\perp \otimes (![A] \otimes [B]) ]$$

We can also obtain a adequacy up to the level of **derivations**. For intuitionistic and minimal logics the **!** is important.

The encoding of an inference rule (remember all meta-level atoms are **negative**):

$$\frac{\Gamma \vdash A \quad \Gamma, B \vdash C}{\Gamma, A \Rightarrow B \vdash C}$$

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$$\frac{\frac{\frac{}{\vdash \mathcal{K} : \downarrow [A \Rightarrow B]^\perp} [I_2] \quad \frac{\frac{\vdash \mathcal{K} : [A] \uparrow}{\vdash \mathcal{K} : \downarrow ![A]} [!, R\uparrow] \quad \frac{\vdash \mathcal{K} : [B], [C] \uparrow}{\vdash \mathcal{K} : [C] \downarrow [B]} [R\downarrow, R\uparrow]}{\vdash \mathcal{K} : [C] \downarrow ![A] \otimes [B]} [\otimes]}{\vdash \mathcal{K} : [C] \downarrow F} [2 \times \exists, \otimes]}{\vdash \mathcal{K} : [C] \uparrow \cdot} [D_2]$$

$$F \text{ is } \exists A \exists B [A \Rightarrow B]^\perp \otimes ([A] \otimes [B])$$

# The encoding of an inference rule (remember all meta-level atoms are **negative**):

$$\frac{\Gamma \vdash A \quad \Gamma, B \vdash C}{\Gamma, A \Rightarrow B \vdash C}$$

$[A \Rightarrow B] \in \mathcal{K}$   
is enforced

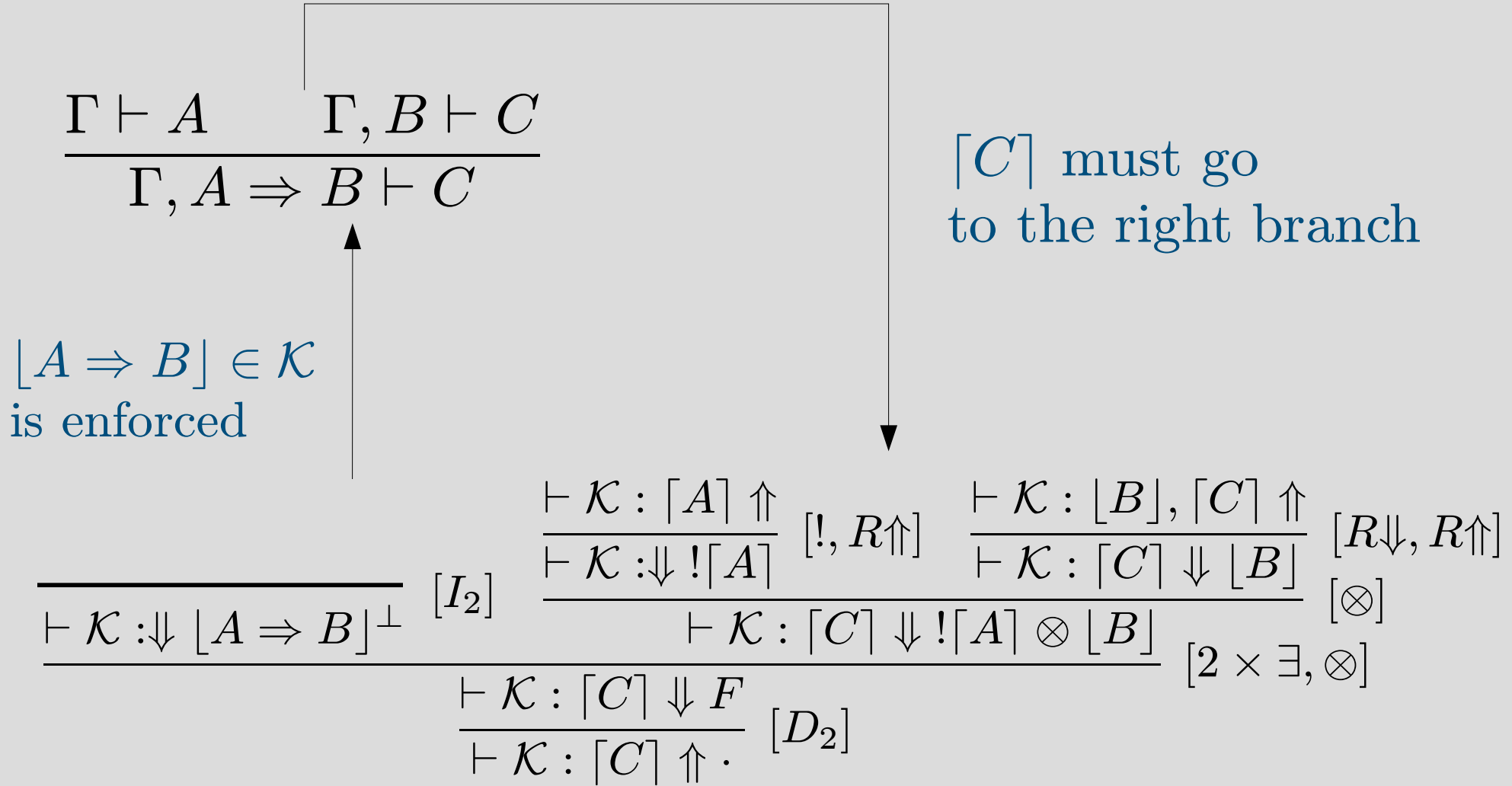


$$\frac{\frac{\frac{\frac{}{\vdash \mathcal{K} : \downarrow [A \Rightarrow B]^\perp} [I_2]}{\vdash \mathcal{K} : \downarrow ! [A]} [!, R\uparrow]}{\vdash \mathcal{K} : \downarrow ! [A] \otimes [B]} [R\downarrow, R\uparrow]}{\vdash \mathcal{K} : \downarrow ! [A] \otimes [B]} [\otimes]}{\vdash \mathcal{K} : \downarrow [C] \downarrow ! [A] \otimes [B]} [2 \times \exists, \otimes]} \quad \frac{\frac{\frac{}{\vdash \mathcal{K} : \downarrow F}}{\vdash \mathcal{K} : \downarrow F} [D_2]}{\vdash \mathcal{K} : \uparrow \cdot} [D_2]}{\vdash \mathcal{K} : \uparrow \cdot} [D_2]}$$

$F$  is  $\exists A \exists B [A \Rightarrow B]^\perp \otimes ([A] \otimes [B])$



# The encoding of an inference rule (remember all meta-level atoms are **negative**):



$$F \text{ is } \exists A \exists B [A \Rightarrow B]^\perp \otimes ([A] \otimes [B])$$

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Cut free proofs – **remove** the clause  $(ID_2)$  from the theory:

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It is possible to obtain an adequacy on the **level of derivations**.

# Natural Deduction [Sieg, Byrnes, 1998]

$$\frac{}{\Gamma, A \vdash_{nd} A \downarrow} [\text{Ax}] \quad \frac{\Gamma \vdash_{nd} F \uparrow \quad \Gamma \vdash_{nd} G \uparrow}{\Gamma \vdash_{nd} F \wedge G \uparrow} [\wedge I] \quad \frac{\Gamma \vdash_{nd} F \wedge G \downarrow}{\Gamma \vdash_{nd} F \downarrow} [\wedge E]$$

$$\frac{\Gamma, A \vdash_{nd} B \uparrow}{\Gamma \vdash_{nd} A \Rightarrow B \uparrow} [\Rightarrow I] \quad \frac{\Gamma \vdash_{nd} A \Rightarrow B \downarrow \quad \Gamma \vdash_{nd} A \uparrow}{\Gamma \vdash_{nd} B \downarrow} [\Rightarrow E] \quad \frac{}{\Gamma \vdash_{nd} t \uparrow} [tI]$$

$$\frac{\Gamma \vdash_{nd} A\{c/x\} \uparrow}{\Gamma \vdash_{nd} \forall x A \uparrow} [\forall I] \quad \frac{\Gamma \vdash_{nd} \forall x A \downarrow}{\Gamma \vdash_{nd} A\{t/x\} \downarrow} [\forall E] \quad \frac{\Gamma \vdash_{nd} A \downarrow}{\Gamma \vdash_{nd} A \uparrow} [M] \quad \frac{\Gamma \vdash_{nd} A \uparrow}{\Gamma \vdash_{nd} A \downarrow} [S]$$

# Natural Deduction [Sieg, Byrnes, 1998]

$$\begin{array}{c}
 \frac{}{\Gamma, A \vdash_{nd} A \downarrow} \text{[Ax]} \quad \frac{\Gamma \vdash_{nd} F \uparrow \quad \Gamma \vdash_{nd} G \uparrow}{\Gamma \vdash_{nd} F \wedge G \uparrow} \text{[\wedge I]} \quad \frac{\Gamma \vdash_{nd} F \wedge G \downarrow}{\Gamma \vdash_{nd} F \downarrow} \text{[\wedge E]} \\
 \\
 \frac{\Gamma, A \vdash_{nd} B \uparrow}{\Gamma \vdash_{nd} A \Rightarrow B \uparrow} \text{[\Rightarrow I]} \quad \frac{\Gamma \vdash_{nd} A \Rightarrow B \downarrow \quad \Gamma \vdash_{nd} A \uparrow}{\Gamma \vdash_{nd} B \downarrow} \text{[\Rightarrow E]} \quad \frac{}{\Gamma \vdash_{nd} t \uparrow} \text{[tI]} \\
 \\
 \frac{\Gamma \vdash_{nd} A\{c/x\} \uparrow}{\Gamma \vdash_{nd} \forall x A \uparrow} \text{[\forall I]} \quad \frac{\Gamma \vdash_{nd} \forall x A \downarrow}{\Gamma \vdash_{nd} A\{t/x\} \downarrow} \text{[\forall E]} \quad \frac{\Gamma \vdash_{nd} A \downarrow}{\Gamma \vdash_{nd} A \uparrow} \text{[M]} \quad \frac{\Gamma \vdash_{nd} A \uparrow}{\Gamma \vdash_{nd} A \downarrow} \text{[S]}
 \end{array}$$

$$\Gamma \vdash_{nd} C \uparrow$$

Useful to identify normal proofs, where the **S** rules is not allowed.

$$\vdash \Sigma, [\Gamma] : [C] \uparrow$$

$$\Gamma \vdash_{nd} C \downarrow$$

$$\vdash \Sigma, [\Gamma] : [C]^\perp \uparrow$$

## Natural Deduction – including normal forms

if all  $[\cdot]$  (meta-level) atoms are **negative**

if all  $[\cdot]$  (meta-level) atoms are **positive**

$$1) \Gamma \vdash_{nm} C \uparrow \text{ iff } \vdash \mathcal{L}_{lm}, [\Gamma] : [C] \uparrow$$

$$2) \Gamma \vdash_{nm}^n C \uparrow \text{ iff } \vdash \mathcal{L}_{lm}^f, [\Gamma] : [C] \uparrow$$

$$3) \Gamma \vdash_{nm}^n C \downarrow \text{ iff } \vdash \mathcal{L}_{lm}^f, [\Gamma] : [C]^\perp \uparrow$$

An adequacy on the level of **proofs** can also be obtained.

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An adequacy on the level of **proofs** can also be obtained.

Since the polarity assignment a focused system does not affect provability, we obtain the following **relative completeness** result for free:

### Corollary

$$\Gamma \vdash_{lm} C \text{ iff } \Gamma \vdash_{nm} C \quad \text{and} \quad \Gamma \vdash_{lm}^f C \text{ iff } \Gamma \vdash_{nm}^n C.$$

## Cut now becomes Switch Rule:

$$\frac{\Gamma \vdash_{nd} C \uparrow}{\Gamma \vdash_{nd} C \downarrow} [S]$$

$$\frac{\frac{\frac{}{\vdash \Sigma, [\Gamma] : [C]^\perp \Downarrow [C]} [I_1] \quad \frac{\vdash \Sigma, [\Gamma] : [C] \Uparrow}{\vdash \Sigma, [\Gamma] : \Downarrow [C]} [R \Downarrow, R \Uparrow]}{\vdash \Sigma, [\Gamma] : [C]^\perp \Downarrow [C] \otimes [C]} [\otimes]}{\vdash \Sigma, [\Gamma] : [C]^\perp \Uparrow} [D_2, \exists]$$

We skip the natural deduction treatment of negation in intuitionistic and classical logics.



# Natural Deduction with Generalized Elimination Rules

$$\frac{\Gamma \vdash_{ge} A \vee B \quad \Gamma, A \vdash_{ge} C \quad \Gamma, B \vdash_{ge} C}{\Gamma \vdash_{ge} C}$$

$$\frac{\Gamma \vdash_{ge} A \wedge B \quad \Gamma, A, B \vdash_{ge} C}{\Gamma \vdash_{ge} C}$$

$$\frac{\Gamma \vdash_{ge} A \Rightarrow B \quad \Gamma \vdash_{ge} A \quad \Gamma, B \vdash_{ge} C}{\Gamma \vdash_{ge} C}$$

$$\frac{\Gamma \vdash_{ge} \forall x A \quad \Gamma, A\{t/x\} \vdash_{ge} C}{\Gamma \vdash_{ge} C}$$

# Natural Deduction with Generalized Elimination Rules

$$\frac{\Gamma \vdash_{ge} A \vee B \quad \Gamma, A \vdash_{ge} C \quad \Gamma, B \vdash_{ge} C}{\Gamma \vdash_{ge} C} \qquad \frac{\Gamma \vdash_{ge} A \wedge B \quad \Gamma, A, B \vdash_{ge} C}{\Gamma \vdash_{ge} C}$$

$$\frac{\Gamma \vdash_{ge} A \Rightarrow B \quad \Gamma \vdash_{ge} A \quad \Gamma, B \vdash_{ge} C}{\Gamma \vdash_{ge} C} \qquad \frac{\Gamma \vdash_{ge} \forall x A \quad \Gamma, A\{t/x\} \vdash_{ge} C}{\Gamma \vdash_{ge} C}$$

We use the **identity** and **structural** equivalences:

$$[B]^\perp \rightarrow [B] \qquad \text{change } \oplus \text{ to } \wp$$

An adequacy on the level of **proofs** can also be obtained.

**Corollary.**  $\Gamma \vdash_{ge} C$  iff  $\Gamma \vdash_{lm} C$ .

# Free Deduction

$$\frac{\Gamma, A \vee B \vdash_{fd} \Delta \quad \Gamma \vdash_{fd} \Delta, A}{\Gamma \vdash_{fd} \Delta} [\vee GI] \qquad \frac{\Gamma, A \Rightarrow B \vdash_{fd} \Delta \quad \Gamma, A \vdash_{fd} \Delta, B}{\Gamma \vdash_{fd} \Delta} [\Rightarrow GI]$$

$$\frac{\Gamma, A \wedge B \vdash_{fd} \Delta \quad \Gamma \vdash_{fd} \Delta, A \quad \Gamma \vdash_{fd} \Delta, B}{\Gamma \vdash_{fd} \Delta} [\wedge GI]$$

$$\frac{\Gamma, \neg A \vdash_{fd} \Delta \quad \Gamma, A \vdash_{fd} \Delta}{\Gamma \vdash_{fd} \Delta} [\neg GI_1] \qquad \frac{\Gamma \vdash_{fd} \Delta, \neg A \quad \Gamma \vdash_{fd} \Delta, A}{\Gamma \vdash_{fd} \Delta} [\neg GI_2]$$

Assign all meta-level atoms with **negative** polarity:

$$[B]^\perp \rightarrow [B]$$

$$[B]^\perp \rightarrow [B]$$

An adequacy on the level of **derivations** can also be obtained.

Parigot's notion of **"killing"** a premise is handled by polarities.

## Other proof systems

In the paper, we also deal with:

- the **KE tableaux** of D'Agostino and Mondadori, and
- a proof system of **Smullyan** with many axioms and with cut as the only inference rule.

# Agenda

- Overview
- Linear Logic and Focusing
- Encoding Systems
- Results
- **Conclusions and Future Works**

## Conclusions and Future Works

We have worked with essentially one ``definition'' of the two senses of a logical connective.

We allowed either changes in polarity assignment to atoms or replacing specifications with logically equivalent formulas.

This simple meta-level tuning accounts faithfully for a number of (object-level) proof systems.

Classical systems can usually be encoded with an adequacy to the level of derivations, while intuitionistic systems are encoded only to the level of proofs.

There is a conflict between uses of exponentials to improve adequacy of encodings and the focusing discipline that is at the heart of getting adequacy results in the first place. More work on modals? focusing?