# **Exploiting non-canonicity in the Sequent Calculus**

by Vivek Nigam

Ecole Polytechnique - France

Thesis Defense

18 September 2009

### **My Thesis**

### **Exploiting non-canonicity in the Sequent Calculus**

### **My Thesis**

### **Exploiting non-canonicity in the Sequent Calculus**

- Polarity assignment of literals in focused systems
- Linear logic's exponentials

#### **My Thesis**

### **Exploiting non-canonicity in the Sequent Calculus**

- Polarity assignment of literals in focused systems
- Linear logic's exponentials

- Tabled deduction
- Logical frameworks
- Algorithmic specifications

### **Agenda**

#### **■** Sequent Calculus

- Focusing
- Tabled Deduction
- Algorithmic Specifications
- Logical Frameworks

$$P_1,\ldots,P_n\vdash Q_1,\ldots,Q_m$$

## Sequents

Sequents

$$P_1,\ldots,P_n\vdash Q_1,\ldots,Q_m$$

$$P_1 \wedge \cdots \wedge P_n \Rightarrow Q_1 \vee \cdots \vee Q_m$$

$$P_1,\ldots,P_n\vdash Q_1,\ldots,Q_m$$

#### Sequents

$$P_1 \wedge \cdots \wedge P_n \Rightarrow Q_1 \vee \cdots \vee Q_m$$

#### Inference Rules

$$\frac{\overline{P} \vdash P}{\Gamma} I$$

$$\frac{\Gamma \vdash P, \Delta \quad \Gamma \vdash Q, \Delta}{\Gamma \vdash P \land Q, \Delta} \land_{r}$$

$$\frac{\Gamma \vdash P_{i}, \Delta}{\Gamma \vdash P_{1} \lor P_{2}, \Delta} \lor_{ri}$$

$$P_1,\ldots,P_n\vdash Q_1,\ldots,Q_m$$

### Sequents

$$P_1 \wedge \cdots \wedge P_n \Rightarrow Q_1 \vee \cdots \vee Q_m$$

#### Inference Rules

$$\frac{\overline{P} \vdash P}{\Gamma} I$$

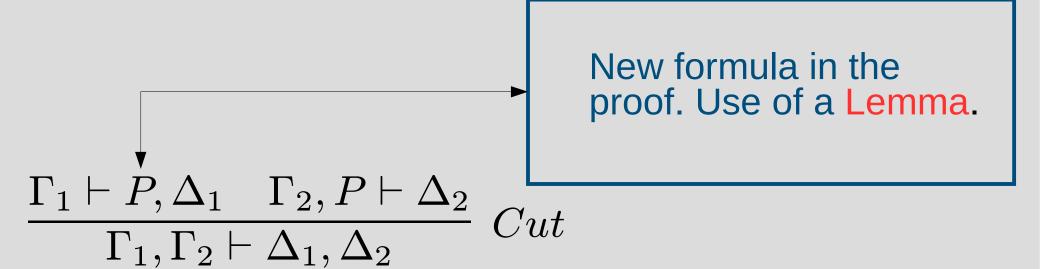
$$\frac{\Gamma \vdash P, \Delta \quad \Gamma \vdash Q, \Delta}{\Gamma \vdash P \land Q, \Delta} \land_{r}$$

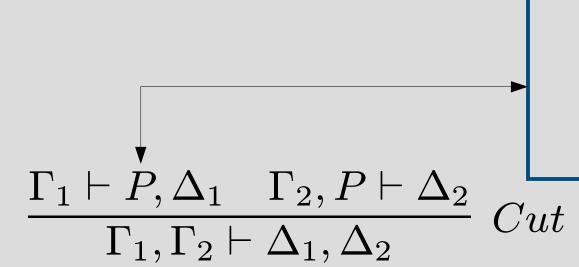
$$\frac{\Gamma \vdash P_{i}, \Delta}{\Gamma \vdash P_{1} \lor P_{2}, \Delta} \lor_{ri}$$

#### Proof

$$\frac{\overline{A} \vdash \overline{A}}{\vdash A \lor A^{\perp}, A} \urcorner_{r_{1}} \lor_{r_{2}} \\ \frac{\vdash A \lor A^{\perp}, A}{\vdash A \lor A^{\perp}, A \lor A^{\perp}} \lor_{r_{1}} \\ \frac{\vdash A \lor A^{\perp}, A \lor A^{\perp}}{\vdash A \lor A^{\perp}} C_{r}$$

$$\frac{\Gamma_1 \vdash P, \Delta_1 \quad \Gamma_2, P \vdash \Delta_2}{\Gamma_1, \Gamma_2 \vdash \Delta_1, \Delta_2} \quad Cut$$

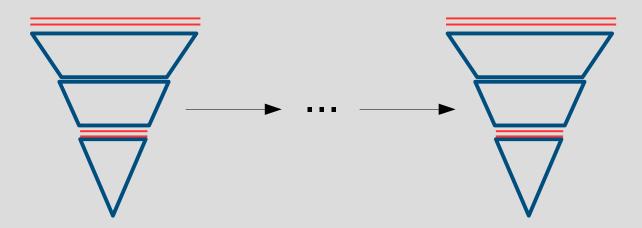


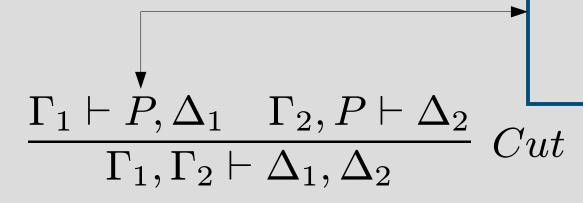


New formula in the proof. Use of a Lemma.

#### **Proof with Cuts**

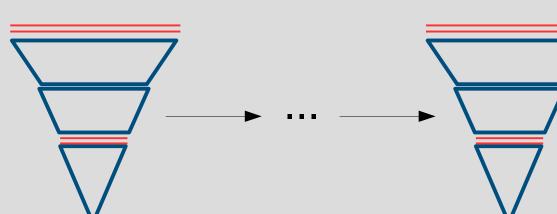
#### **Cut-free Proof**





New formula in the proof. Use of a Lemma.

#### **Proof with Cuts**



**Cut-free Proof** 

### Consequences

1) Consistency

$$\vdash P \qquad \vdash P^{\perp}$$

2) Subformula Prop.

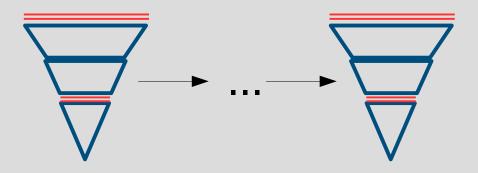
No need for Lemmas

## **Computational Logic**

## **Computational Logic**

**Proof normalization** 

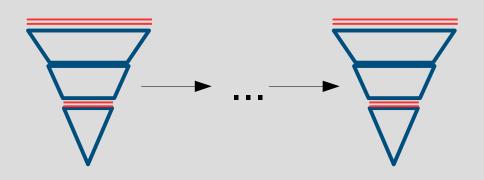
Functional Programming



### **Computational Logic**

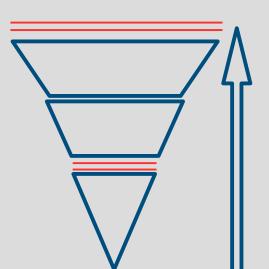
#### **Proof normalization**

Functional Programming



Logic Programming

This thesis



**Proof search** 

Classical Logic

$$\frac{\frac{\overline{A} \vdash \overline{A}}{\vdash A^{\perp}, A}^{I}}{\vdash A \lor A^{\perp}, A}^{\lor_{r2}} \\ \frac{\vdash A \lor A^{\perp}, A}{\vdash A \lor A^{\perp}}^{\lor_{r1}} \\ \vdash A \lor A^{\perp} C_{r}$$

**Truth** 

**Classical Logic** 

$$\frac{\frac{\overline{A} \vdash \overline{A}}{\vdash A^{\perp}, A}^{I} \neg_{r}}{\vdash A \lor A^{\perp}, A} \lor_{r2} \\ \frac{\vdash A \lor A^{\perp}, A}{\vdash A \lor A^{\perp}} \lor_{r1}}{\vdash A \lor A^{\perp}} C_{r}$$

Truth

**Intuitionistic Logic** 

$$\vdash A \qquad \vdash A^{\perp}$$
 $\vdash A \lor A^{\perp}$ 

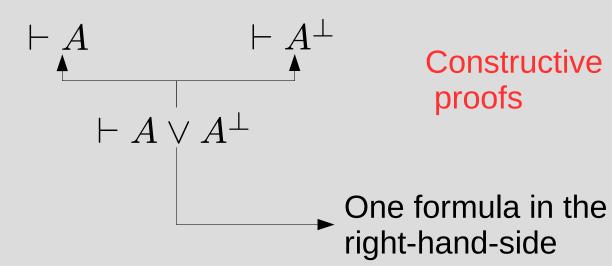
Constructive proofs

Classical Logic

$$\frac{\frac{\overline{A} \vdash \overline{A}}{\vdash A^{\perp}, A} r_{r_{1}}}{\vdash A \lor A^{\perp}, A} \lor_{r_{2}} \\ \frac{\vdash A \lor A^{\perp}, A}{\vdash A \lor A^{\perp}} \lor_{r_{1}} \\ \vdash A \lor A^{\perp}, A \lor A^{\perp}} C_{r}$$

**Truth** 

Intuitionistic Logic

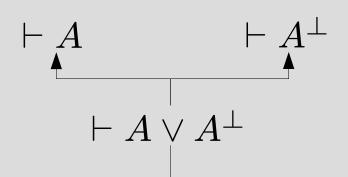


**Classical Logic** 

$$\frac{\overline{A} \vdash \overline{A}}{\vdash A^{\perp}, A} \xrightarrow{\neg_r} \\ \frac{\vdash A \lor A^{\perp}, A}{\vdash A \lor A^{\perp}, A} \xrightarrow{\lor_{r2}} \\ \frac{\vdash A \lor A^{\perp}, A \lor A^{\perp}}{\vdash A \lor A^{\perp}} \xrightarrow{C_r}$$

Truth

**Intuitionistic Logic** 



Constructive proofs

Several different sequent calculus systems for these logics

One formula in the right-hand-side

### **Linear Logic**

Formulas can no longer be used as many times as you want.

#### **Linear Logic**

Formulas can no longer be used as many times as you want.

#### No canonical form

exponentials ! ?

$$\frac{\vdash \Gamma, ?P, ?P}{\vdash \Gamma, ?P} \ C \quad \frac{\vdash \Gamma}{\vdash \Gamma, ?P} \ W$$

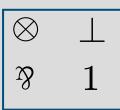
#### **Linear Logic**

Formulas can no longer be used as many times as you want.

#### No canonical form

exponentials ! ?

$$\frac{\vdash \Gamma, ?P, ?P}{\vdash \Gamma, ?P} \ C \quad \frac{\vdash \Gamma}{\vdash \Gamma, ?P} \ W$$



multiplicatives



additives

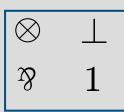
#### Linear Logic

Formulas can no longer be used as many times as you want.

#### No canonical form

exponentials ! ?

$$\frac{\vdash \Gamma, ?P, ?P}{\vdash \Gamma, ?P} \ C \quad \frac{\vdash \Gamma}{\vdash \Gamma, ?P} \ W$$



multiplicatives

additives

$$\frac{\vdash \Gamma, P \vdash \Delta, Q}{\vdash \Gamma, \Delta, P \otimes Q} \otimes$$

$$\frac{\vdash \Gamma, P \vdash \Gamma, Q}{\vdash \Gamma, P \& Q} \&$$

#### **Linear Logic**

Formulas can no longer be used as many times as you want.

#### No canonical form

exponentials ! ?

$$\frac{\vdash \Gamma, ?P, ?P}{\vdash \Gamma, ?P} \ C \quad \frac{\vdash \Gamma}{\vdash \Gamma, ?P} \ W$$

$$\frac{\vdash \Gamma, P \vdash \Delta, Q}{\vdash \Gamma, \Delta, P \otimes Q} \otimes$$

$$\frac{\vdash \Gamma, P \vdash \Gamma, Q}{\vdash \Gamma, P \& Q} \&$$

multiplicatives

additives

$$A \multimap B$$
 denotes  $B \otimes A^{\perp}$ 

De Morgan dualities

$$\Gamma \vdash \Delta \qquad \qquad \vdash \Gamma^{\perp}, \Delta$$

### Linear Logic

#### The logic behind logic

One can encode intuitionistic logic in linear logic

$$[P \supset Q] \equiv ![P] \multimap [Q]$$

#### **Linear Logic**

#### The logic behind logic

One can encode intuitionistic logic in linear logic

$$[P \supset Q] \qquad \equiv \qquad ![P] \multimap [Q]$$

#### Logic of resources

One can specify resources

$$\vdash$$
 ?(euro $^{\perp} \otimes$  coffee), euro

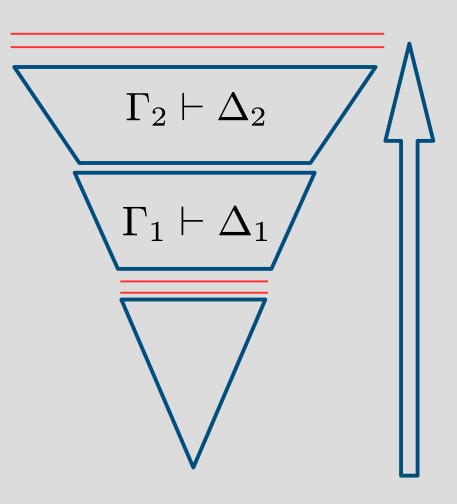
### Agenda

■ Sequent Calculus

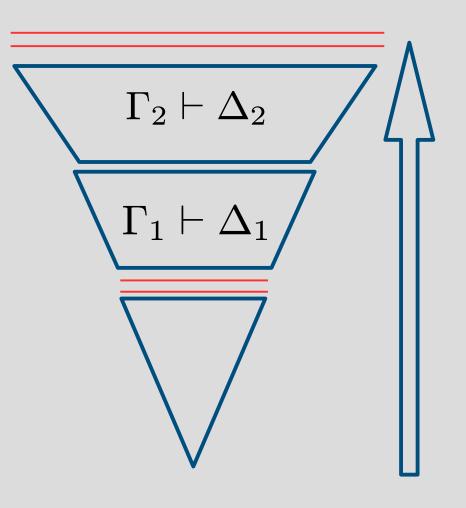
#### Focusing

- Tabled Deduction
- Algorithmic Specifications
- Logical Frameworks

## **Logic Programming – Search for cut-free proofs**



### **Logic Programming – Search for cut-free proofs**

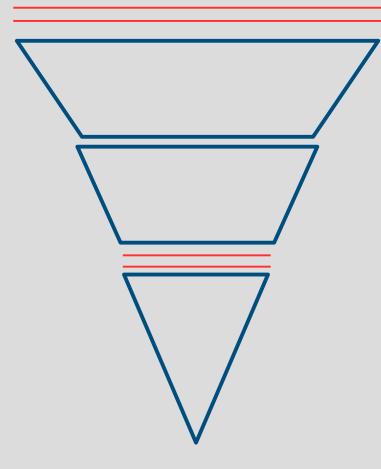


#### Logic program

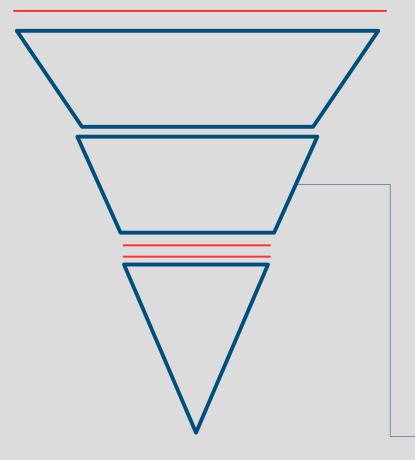
 $\forall x (\mathsf{path}\, x\, x) \ \forall x \forall y \forall z (\mathsf{arr}\, x\,\, z \land \mathsf{path}\,\, z\,\, y \supset \mathsf{path}\,\, x\,\, y)$ 

#### Query

path  $a_3$   $a_4$ 



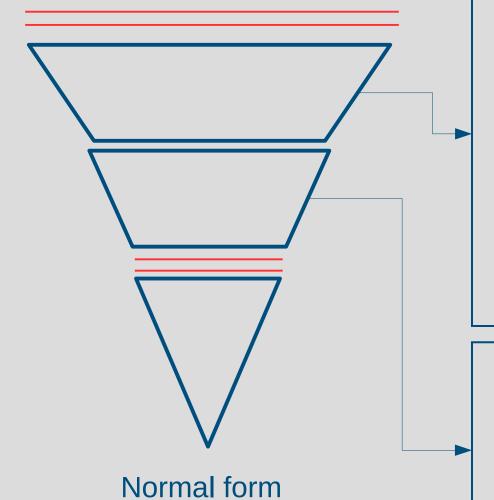
Normal form proofs for proof search



Normal form proofs for proof search

Negative Phase - All invertible rules are applied eagerly

$$\frac{\vdash \Theta : \Gamma \uparrow L, F, G}{\vdash \Theta : \Gamma \uparrow L, F \, \mathcal{V} \, G} \, \left[ \, \mathcal{V} \, \right]$$



proofs for

proof search

# Positive Phase – One formula is focused on

$$\frac{\vdash \Theta : \Gamma \Downarrow P}{\vdash \Theta : \Gamma, P \Uparrow} \ [D_1]$$

#### **Focusing persists**

$$\frac{\vdash \Theta : \Gamma \Downarrow F \quad \vdash \Theta : \Gamma' \Downarrow G}{\vdash \Theta : \Gamma, \Gamma' \Downarrow F \otimes G} \ [\otimes]$$

# Negative Phase - All invertible rules are applied eagerly

$$\frac{\vdash \Theta : \Gamma \Uparrow L, F, G}{\vdash \Theta : \Gamma \Uparrow L, F \, {\mathfrak P} \, \, G} \, \left[ \, {\mathfrak P} \, \right]$$

$$A \& B, A \ \Im B, \bot, \top, ?B, \forall x B$$

**Negative Formulas** 

$$A \& B, A \ \Im B, \bot, \top, ?B, \forall x B$$

### **Negative Formulas**

$$\frac{\vdash \Theta : \Gamma \uparrow L}{\vdash \Theta : \Gamma \uparrow L, \bot} \ [\bot]$$

$$\frac{\vdash \Theta : \Gamma \uparrow L, F, G}{\vdash \Theta : \Gamma \uparrow L, F \not \ni G} \ [ \not \ni \ ] \qquad \frac{\vdash \Theta, F : \Gamma \uparrow L}{\vdash \Theta : \Gamma \uparrow L, ?F} \ [ ? ]$$

$$\frac{\vdash \Theta, F : \Gamma \uparrow L}{\vdash \Theta : \Gamma \uparrow L, ?F} \ [?]$$

$$\frac{}{\vdash \Theta : \Gamma \Uparrow L, \top} \ [\top] \quad \frac{\vdash \Theta : \Gamma \Uparrow L, F \quad \vdash \Theta : \Gamma \Uparrow L, G}{\vdash \Theta : \Gamma \Uparrow L, F \& G} \ [\&] \quad \frac{\vdash \Theta : \Gamma \Uparrow L, F[c/x]}{\vdash \Theta : \Gamma \Uparrow L, \forall x \, F} \ [\forall]$$

## All negative rules are invertible

 $A\otimes B, A\oplus B, 1, !\,B, \exists x\,B$ 

**Positive Formulas** 

$$A \otimes B, A \oplus B, 1, !B, \exists x B$$

#### Positive Formulas

$$\frac{}{\vdash \Theta : \Downarrow 1} \ [1] \qquad \frac{\vdash \Theta : \Gamma \Downarrow F \quad \vdash \Theta : \Gamma' \Downarrow G}{\vdash \Theta : \Gamma, \Gamma' \Downarrow F \otimes G} \ [\otimes] \qquad \frac{\vdash \Theta : \Uparrow F}{\vdash \Theta : \Downarrow ! F} \ [!]$$

$$\frac{\vdash \Theta : \Gamma \Downarrow F}{\vdash \Theta : \Gamma \Downarrow F \oplus G} \ [\oplus_l] \qquad \frac{\vdash \Theta : \Gamma \Downarrow G}{\vdash \Theta : \Gamma \Downarrow F \oplus G} \ [\oplus_r] \qquad \frac{\vdash \Theta, F : \Gamma \Downarrow F[t/x]}{\vdash \Theta : \Gamma \Downarrow \exists x \, F} \ [\exists]$$

Positive rules are not necessarily invertible.

#### Structural Rules

$$\frac{\vdash \Theta : \Gamma \Uparrow N}{\vdash \Theta : \Gamma \Downarrow N} \ [R \Downarrow]$$

$$\frac{\vdash \Theta : \Gamma, S \Uparrow L}{\vdash \Theta : \Gamma \Uparrow L, S} \ [R \Uparrow]$$

$$\frac{\vdash \Theta : \Gamma \Downarrow P}{\vdash \Theta : \Gamma, P \Uparrow} \ [D_1]$$

$$\frac{\vdash \Theta, P : \Gamma \Downarrow P}{\vdash \Theta, P : \Gamma \Uparrow} [D_2]$$

Here, *N* is a negative formula, *P* is not a negative literal, and *S* is a positive formula or a literal.

# **Synthetic Connectives**

$$\frac{\vdash \Theta : \Gamma \uparrow A_1}{\vdash \Theta : \Gamma \Downarrow A_1 \oplus (A_2 \otimes A_3)}$$

$$\frac{\vdash \Theta : \Gamma_1 \Uparrow A_2 \quad \vdash \Theta : \Gamma_2 \Uparrow A_3}{\vdash \Theta : \Gamma_1, \Gamma_2 \Downarrow A_1 \oplus (A_2 \otimes A_3)}$$

# **Synthetic Connectives**

$$\frac{\vdash \Theta : \Gamma \uparrow \land A_1}{\vdash \Theta : \Gamma \downarrow A_1 \oplus (A_2 \otimes A_3)} \qquad \frac{\vdash \Theta : \Gamma_1 \uparrow \land A_2 \quad \vdash \Theta : \Gamma_2 \uparrow \land A_3}{\vdash \Theta : \Gamma_1, \Gamma_2 \downarrow \land A_1 \oplus (A_2 \otimes A_3)}$$

We can construct "macro-rules" that introduce the synthetic connectives of formulas.

Literals are arbitrarily classified as positive or negative

Literals are arbitrarily classified as positive or negative

$$\frac{}{\vdash \Theta : A_p^{\perp} \Downarrow A_p} \quad [I_1] \qquad \frac{}{\vdash \Theta , A_p^{\perp} : \Downarrow A_p} \quad [I_2]$$

Literals are arbitrarily classified as positive or negative

$$\frac{}{\vdash \Theta : A_p^{\perp} \Downarrow A_p} \quad [I_1] \qquad \frac{}{\vdash \Theta , A_p^{\perp} : \Downarrow A_p} \quad [I_2]$$

The Focusing Theorem states that a formula is provable in the focused system iff it is provable in linear logic. Does not matter how we assign the polarity of literals.

### Fibonacci Program

$$fib(0,0) \wedge fib(1,1) \wedge$$

$$\forall n, f, f'[\operatorname{fib}(n, f) \supset \operatorname{fib}(n + 1, f') \supset \operatorname{fib}(n + 2, f + f')].$$

#### To prove

$$\Gamma \longrightarrow \mathrm{fib}(n,N).$$

#### Fibonacci Program

$$fib(0,0) \wedge fib(1,1) \wedge$$

$$\forall n, f, f'[\operatorname{fib}(n, f) \supset \operatorname{fib}(n + 1, f') \supset \operatorname{fib}(n + 2, f + f')].$$

#### To prove

$$\Gamma \longrightarrow \mathrm{fib}(n,N).$$

### fib atoms as negative

there is a unique focused proof of size exponential in *n* (goal-directed, backchaining)

#### Fibonacci Program

$$fib(0,0) \wedge fib(1,1) \wedge$$

$$\forall n, f, f'[\operatorname{fib}(n, f) \supset \operatorname{fib}(n + 1, f') \supset \operatorname{fib}(n + 2, f + f')].$$

#### To prove

$$\Gamma \longrightarrow \mathrm{fib}(n,N).$$

### fib atoms as negative

there is a unique focused proof of size exponential in *n* (goal-directed, backchaining)

#### fib atoms as positive

there are infinitely many proofs and the smallest one is of linear size in *n* (program-directed, forward-chaining).

#### Fibonacci Program

$$fib(0,0) \wedge fib(1,1) \wedge$$

$$\forall n, f, f'[\operatorname{fib}(n, f) \supset \operatorname{fib}(n + 1, f') \supset \operatorname{fib}(n + 2, f + f')].$$

#### To prove

$$\Gamma \longrightarrow \mathrm{fib}(n,N).$$

### fib atoms as negative

there is a unique focused proof of size exponential in *n* (goal-directed, backchaining)

#### fib atoms as positive

there are infinitely many proofs and the smallest one is of linear size in *n* (program-directed, forward-chaining).

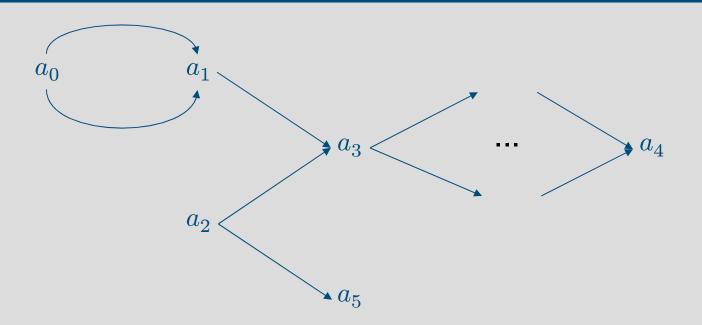
While choices in the polarization of atoms **do not affect provability**, it can have important consequences on the **shape of proofs**.

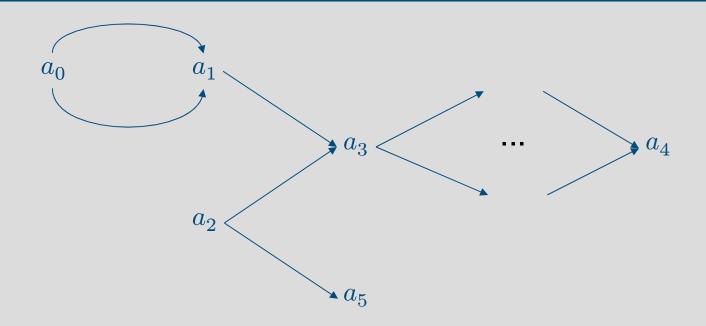
# **Agenda**

- Sequent Calculus
- Focusing

#### **■ Tabled Deduction**

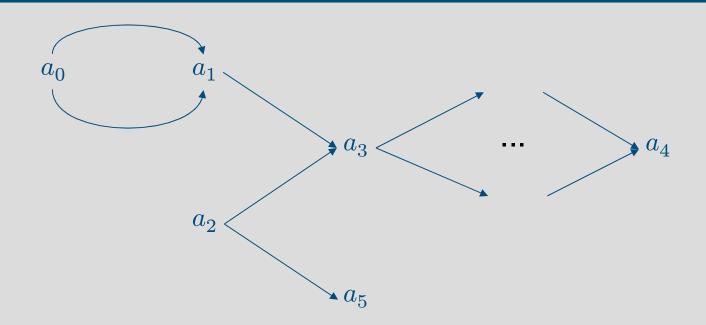
- Algorithmic Specifications
- Logical Frameworks





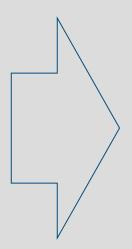
 $\forall x (\mathbf{path} \ x \ x)$  $\forall x \forall y \forall z (arr \ x \ z \land \mathbf{path} \ z \ y \supset \mathbf{path} \ x \ y)$ 

path  $a_1 \ a_4 \wedge \mathbf{path} \ a_2 \ a_4$ 



 $\forall x (\mathbf{path} \ x \ x)$  $\forall x \forall y \forall z (arr \ x \ z \land \mathbf{path} \ z \ y \supset \mathbf{path} \ x \ y)$ 

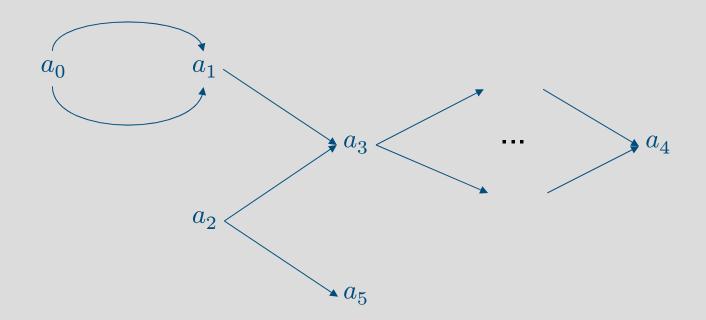
path  $a_1 \ a_4 \wedge \mathbf{path} \ a_2 \ a_4$ 



#### **Common Subgoal**

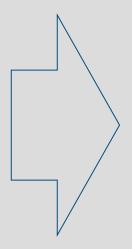
path  $a_3$   $a_4$ 

• In Prolog, this common subgoal is computed twice.



 $\forall x (\mathbf{path} \ x \ x)$  $\forall x \forall y \forall z (arr \ x \ z \land \mathbf{path} \ z \ y \supset \mathbf{path} \ x \ y)$ 

path  $a_2$   $a_5$ 



#### Two paths:

 One is an expensive failure and the other an easy success

Introduce the common subgoal with a cut

$$\frac{\Gamma \vdash A \qquad A, \Gamma \vdash A \land G}{\Gamma \vdash A \land G}$$

Introduce the common subgoal with a cut

$$\frac{\Gamma \vdash A \qquad A, \Gamma \vdash A \land G}{\Gamma \vdash A \land G}$$

Another example (without cuts)

Change to an equivalent goal:

$$A \wedge G \equiv A \wedge (A \supset G)$$

Introduce the common subgoal with a cut

$$\frac{\Gamma \vdash A \qquad A, \Gamma \vdash A \land G}{\Gamma \vdash A \land G}$$

Another example (without cuts)

Change to an equivalent goal:

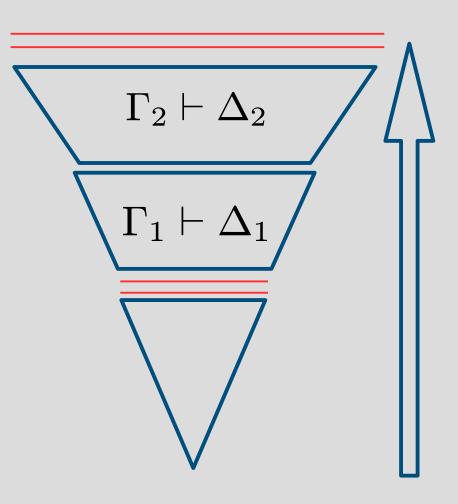
$$A \wedge G \equiv A \wedge (A \supset G)$$

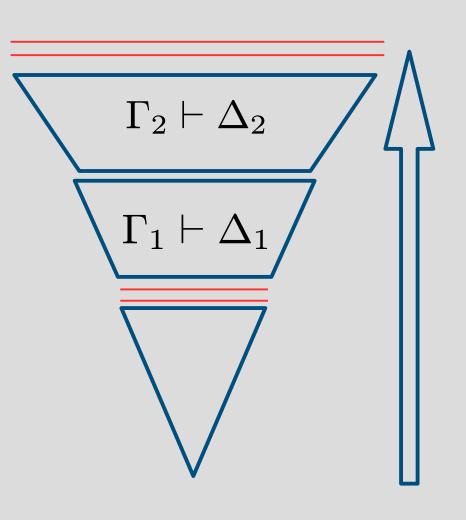
#### But we are only increasing non-determinism:

- There are now more proofs for the goal;
- How to give a purely proof theoretic solution where common subgoals aren't reproven see Chapter 3 of my thesis.

# **Agenda**

- Sequent Calculus
- Focusing
- Tabled Deduction
- Algorithmic Specifications
- Logical Frameworks

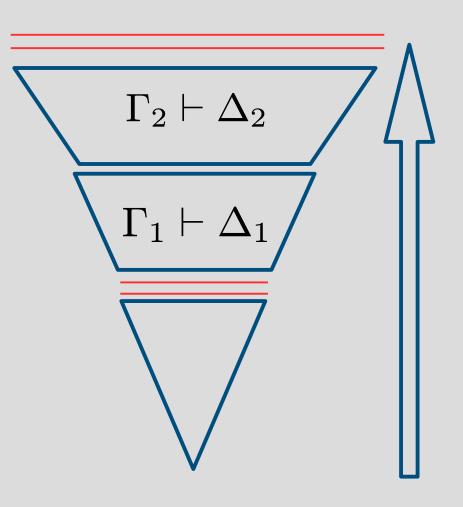




# Horn Theory (hHf)

$$\Gamma_1 = \Gamma_2 \quad (\Gamma_1 \subseteq \Gamma_2)$$

 $\Delta_1, \Delta_2$  are atomic



# Horn Theory (hHf)

$$\Gamma_1 = \Gamma_2 \quad (\Gamma_1 \subseteq \Gamma_2)$$

 $\Delta_1, \Delta_2$  are atomic

#### Computation in level of terms:

min(X::nil,X).

min(X :: L, Y) : -X > Y, min(L, Y)

min(X :: L, X) : -X <= Y, min(L, Y)

# **Linear Logic**

$$\Gamma_1, \Gamma_2, \Delta_1, \Delta_2$$
 are multisets.

# **Linear Logic**

$$\Gamma_1, \Gamma_2, \Delta_1, \Delta_2$$
 are multisets.

#### A representation of a graph:

$$\begin{split} N &= \{ \mathsf{node} \; x \mid x \in \mathcal{N} \} \\ A &= \{ \mathsf{adj} \, x \; y \mid \langle x, y \rangle \in \mathcal{A} \} \\ &\vdash N, A \end{split}$$

## **Linear Logic**

$$\Gamma_1, \Gamma_2, \Delta_1, \Delta_2$$
 are multisets.

### A representation of a graph:

$$N = \{ \mathsf{node} \ x \mid x \in \mathcal{N} \}$$
  $A = \{ \mathsf{adj} \ x \ y \mid \langle x, y \rangle \in \mathcal{A} \}$   $\vdash N, A$ 

How to check that only the set *N* is empty?

### Linear Logic

$$\Gamma_1, \Gamma_2, \Delta_1, \Delta_2$$
 are multisets.

#### A representation of a graph:

$$N = \{ \mathsf{node}\ x \mid x \in \mathcal{N} \}$$
  $A = \{ \mathsf{adj}\ x\ y \mid \langle x, y \rangle \in \mathcal{A} \}$   $\vdash N, A$ 

How to check that only the set *N* is empty?

Not so easy!

We are able to check if the **whole** linear context is empty:

$$\frac{\vdash ?\Gamma, A}{\vdash ?\Gamma, !A}$$
 [!]

### Linear Logic

$$\Gamma_1, \Gamma_2, \Delta_1, \Delta_2$$
 are multisets.

### A representation of a graph:

$$\begin{split} N &= \{ \mathsf{node} \; x \mid x \in \mathcal{N} \} \\ A &= \{ \mathsf{adj} \, x \; y \mid \langle x, y \rangle \in \mathcal{A} \} \\ &\vdash N, A \end{split}$$

How to check that only the set *N* is empty?

Not so easy!

We are able to check if the **whole** linear context is empty:

$$\frac{\vdash ?\Gamma, A}{\vdash ?\Gamma, !A}$$
 [!]

We need local contexts.
We need subexponentials.

?b,!b ?r,!r

$$?^{\mathsf{b}}, !^{\mathsf{b}} ?^{\mathsf{r}}, !^{\mathsf{r}}$$
 $\mathsf{not} \ \mathsf{provable}$ 
 $!^{\mathsf{b}}F \equiv !^{\mathsf{r}}F ?^{\mathsf{b}}F \equiv ?^{\mathsf{r}}F$ 

$$?^{b},!^{b}$$
  $?^{r},!^{r}$   
not provable  
 $!^{b}F \equiv !^{r}F$   $?^{b}F \equiv ?^{r}F$ 

Subexp Signature 
$$\langle I, \preceq, \mathcal{W}, \mathcal{C} 
angle$$

 $\mathcal{W}$  and  $\mathcal{C}$  are up. closed under  $\leq$ 

$$?^{b},!^{b}$$
  $?^{r},!^{r}$  not provable  $!^{b}F \equiv !^{r}F$   $?^{b}F \equiv ?^{r}F$ 

Subexp Signature 
$$\langle I, \preceq, \mathcal{W}, \mathcal{C} 
angle$$

 $\mathcal{W}$  and  $\mathcal{C}$  are up. closed under  $\leq$ 

$$y \in \mathcal{C} \text{ and } z \in \mathcal{W}$$

$$\frac{\vdash C, \Delta}{\vdash ?^{\mathsf{X}}C, \Delta} \ D \quad \frac{\vdash ?^{\mathsf{y}}C, ?^{\mathsf{y}}C, \Delta}{\vdash ?^{\mathsf{y}}C, \Delta} \ C \quad \frac{\vdash, \Delta}{\vdash ?^{\mathsf{z}}C, \Delta} \ W$$

### **Subexponentials**

$$?^{\mathsf{b}},!^{\mathsf{b}}$$
  $?^{\mathsf{r}},!^{\mathsf{r}}$  not provable  $!^{\mathsf{b}}F \equiv !^{\mathsf{r}}F$   $?^{\mathsf{b}}F \equiv ?^{\mathsf{r}}F$ 

Subexp Signature 
$$\langle I, \preceq, \mathcal{W}, \mathcal{C} 
angle$$

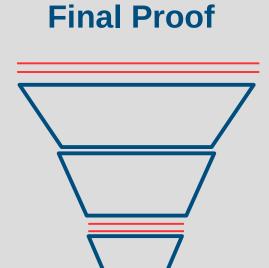
 $\mathcal{W}$  and  $\mathcal{C}$  are up. closed under  $\leq$ 

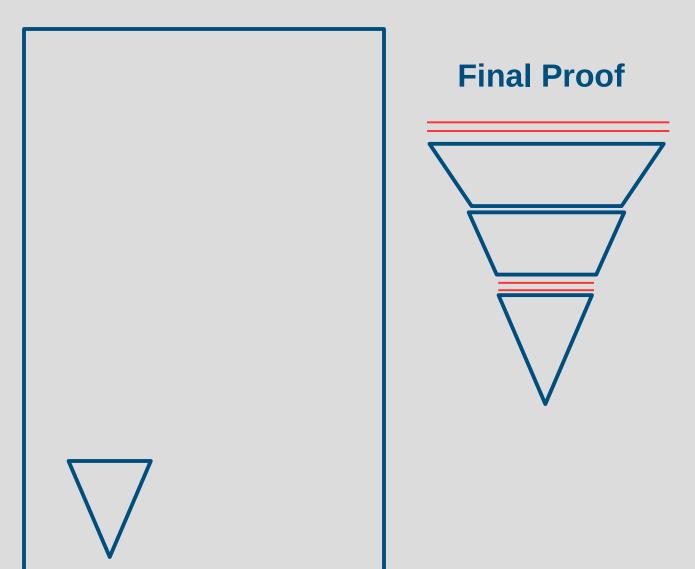
$$y \in \mathcal{C} \text{ and } z \in \mathcal{W}$$

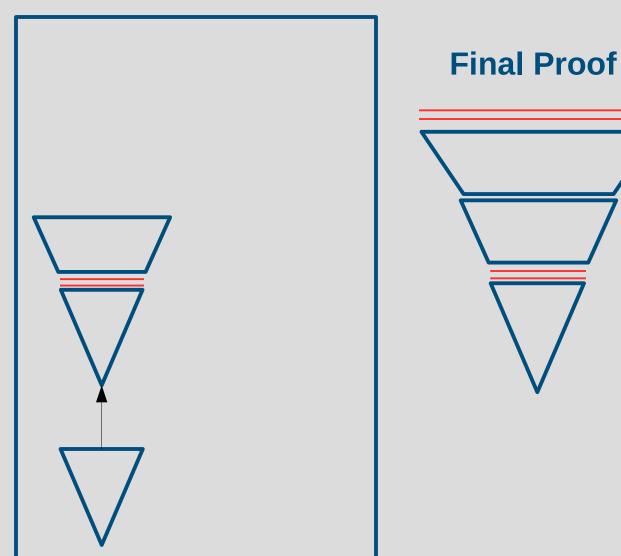
$$\frac{\vdash C, \Delta}{\vdash ?^{\mathsf{X}}C, \Delta} \ D \quad \frac{\vdash ?^{\mathsf{y}}C, ?^{\mathsf{y}}C, \Delta}{\vdash ?^{\mathsf{y}}C, \Delta} \ C \quad \frac{\vdash, \Delta}{\vdash ?^{\mathsf{z}}C, \Delta} \ W$$

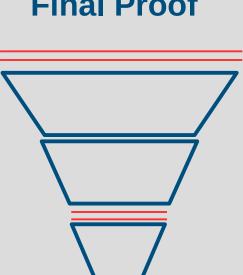
$$\frac{\vdash ?^{x_1}C_1,\ldots,?^{x_n}C_n,C}{\vdash ?^{x_1}C_1,\ldots,?^{x_n}C_n,!^aC} \ !^a \text{ we can now check if a subset is empty}$$

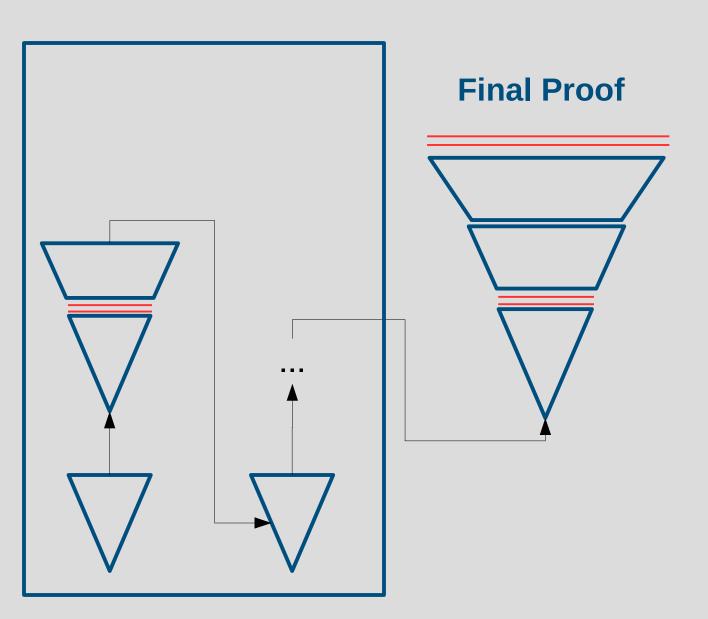
$$a \leq x_i \text{ for all } i = 1, \dots, n$$

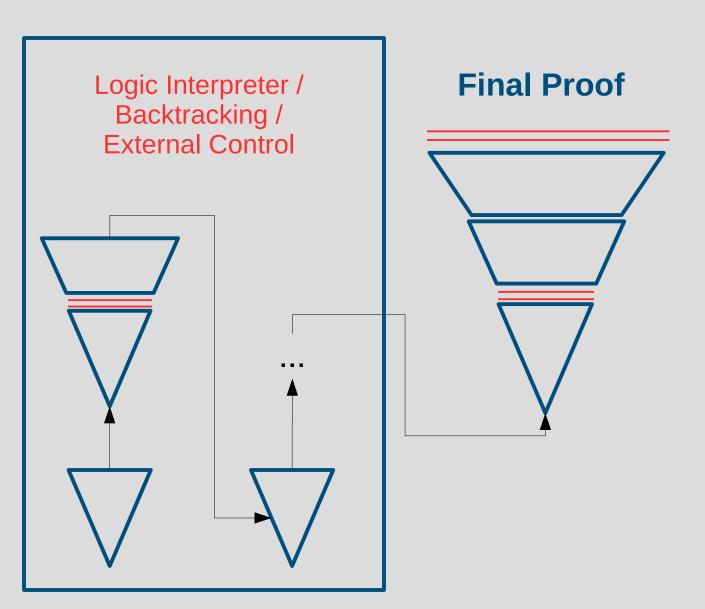


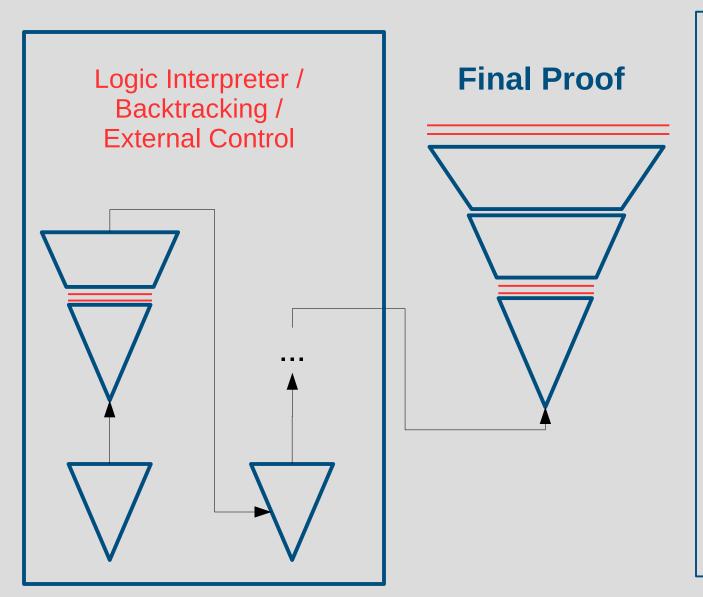








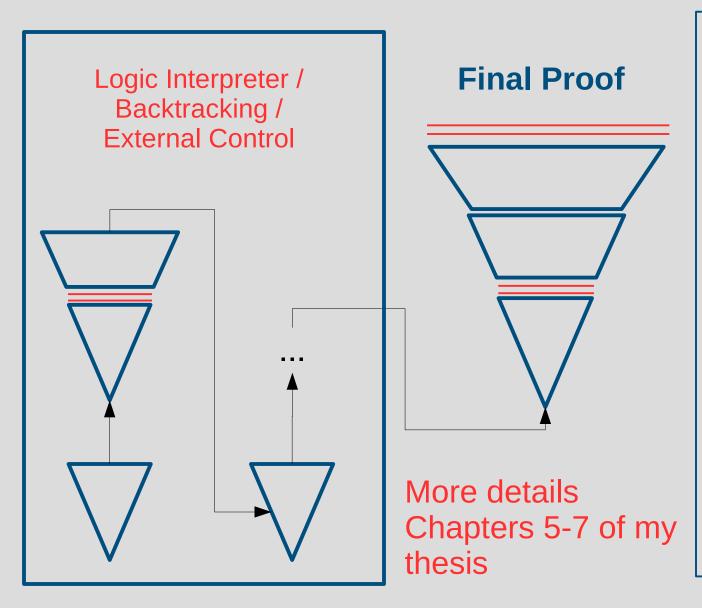




Focused proof search yields algorithmic specification

- (partial) computation runs are in 1-1 correspondence to (open) focused derivations: non-determinism in both can be made to match exactly.
- No external interpreter required: The proof theory of focused proof search is sophisticated enough to provide what is needed.

Controlling the size of focusing phases seems to be the key observation: global choice and local choice operators



Focused proof search yields algorithmic specification

- (partial) computation runs are in 1-1 correspondence to (open) focused derivations: non-determinism in both can be made to match exactly.
- No external interpreter required: The proof theory of focused proof search is sophisticated enough to provide what is needed.

Controlling the size of focusing phases seems to be the key observation: global choice and local choice operators

## **Agenda**

- Sequent Calculus
- Focusing
- Tabled Deduction
- Algorithmic Specifications
- **Logical Frameworks**

### **Overview**

One specification

 $\mathcal{L}$ 

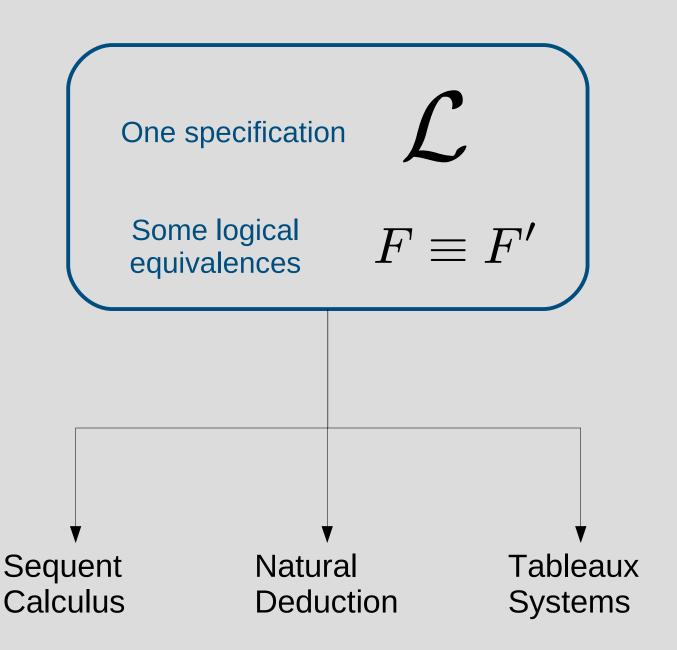
Some logical equivalences

$$F \equiv F'$$

#### **Overview**

Several

**Systems** 



## **Encoding Logics**

We consider only (first-order) minimal, intuitionistic and classical object logics.

## **Encoding Logics**

We consider only (first-order) minimal, intuitionistic and classical object logics.

## **Encoding Formulas**



- Sequent Calculus Left / Right
- Natural Deduction Hyp / Con
- Tableaux Neg / Pos

ML



form  $\rightarrow o$ 

## **Encoding Logics**

We consider only (first-order) minimal, intuitionistic and classical object logics.

## **Encoding Formulas**

#### **Encoding Sequents**

OL

- Sequent Calculus Left / Right
- Natural Deduction Hyp / Con
- Tableaux Neg / Pos

ML

 $\lfloor \cdot \rfloor \quad | \cdot | \quad \text{form} \rightarrow o$ 

$$B_1,\ldots,B_n\vdash C_1,\ldots,C_m$$

$$\vdash \lfloor B_1 \rfloor, \ldots, \lfloor B_n \rfloor, \lceil C_1 \rceil, \ldots, \lceil C_m \rceil$$

## Theory $\mathcal{L}$ with the meaning of connectives – Existential Closure of

$$(\Rightarrow_{L}) \quad [A \Rightarrow B]^{\perp} \otimes (\lceil A \rceil \otimes \lfloor B \rfloor) \quad (\Rightarrow_{R}) \quad [A \Rightarrow B]^{\perp} \otimes (\lfloor A \rfloor \otimes \lceil B \rceil)$$

$$(\land_{L}) \quad [A \land B]^{\perp} \otimes (\lfloor A \rfloor \oplus \lfloor B \rfloor) \quad (\land_{R}) \quad [A \land B]^{\perp} \otimes (\lceil A \rceil \& \lceil B \rceil)$$

$$(\forall_{L}) \quad [\forall B]^{\perp} \otimes [Bx] \quad (\forall_{R}) \quad [\forall B]^{\perp} \otimes \forall x \lceil Bx \rceil$$

$$(\perp_{L}) \quad [\perp]^{\perp} \quad (t_{R}) \quad [t]^{\perp} \otimes \top$$

## Theory $\mathcal{L}$ with the meaning of connectives –

Existential Closure of

### and the structural and identity rules

## Theory $\mathcal{L}$ with the meaning of connectives – Existential Closure of

 $(\Rightarrow_{L}) \quad [A \Rightarrow B]^{\perp} \otimes (\lceil A \rceil \otimes \lfloor B \rfloor) \quad (\Rightarrow_{R}) \quad [A \Rightarrow B]^{\perp} \otimes (\lfloor A \rfloor \otimes \lceil B \rceil)$   $(\land_{L}) \quad [A \land B]^{\perp} \otimes (\lfloor A \rfloor \oplus \lfloor B \rfloor) \quad (\land_{R}) \quad [A \land B]^{\perp} \otimes (\lceil A \rceil \& \lceil B \rceil)$   $(\forall_{L}) \quad [\forall B]^{\perp} \otimes [Bx] \quad (\forall_{R}) \quad [\forall B]^{\perp} \otimes \forall x \lceil Bx \rceil$   $(\downarrow_{L}) \quad [\perp]^{\perp} \quad (t_{R}) \quad [t]^{\perp} \otimes \top$ 

### and the structural and identity rules

$$egin{array}{llll} (\operatorname{Id}_1) & \lfloor B 
floor^\perp \otimes \lceil B 
floor^\perp & (\operatorname{Id}_2) & \lfloor B 
floor \otimes \lceil B 
ceil \ (\operatorname{Str}_L) & \lfloor B 
floor^\perp \otimes ? \lfloor B 
floor & (\operatorname{Str}_R) & \lceil B 
ceil^\perp \otimes ? \lceil B 
ceil \ (W_R) & \lceil C 
ceil^\perp \otimes \bot \end{array}$$

$$(\Rightarrow'_L) \mid A \Rightarrow B \mid^{\perp} \otimes (! \lceil A \rceil \otimes |B|) \qquad (\mathbf{Id}'_2) \mid B \mid \otimes ! \lceil B \rceil$$

Duality of the  $|\cdot|$  and  $\lceil \cdot \rceil$  atoms

$$\vdash \forall B(\lceil B \rceil \equiv \lfloor B \rfloor^{\perp}) \& \forall B(\lfloor B \rfloor \equiv \lceil B \rceil^{\perp}), \mathbf{Id}_1, \mathbf{Id}_2$$

with  $Str_L$  and  $Str_R$  we prove the equivalences:

$$\lfloor B \rfloor \equiv ? \lfloor B \rfloor \text{ and } \lceil B \rceil \equiv ? \lceil B \rceil$$

### **Levels of Adequacy**

We identify three levels of adequacy:

- Relative completeness: comparisons deal only with provability: the two systems have the same theorems.
- Full completeness of proofs: comparisons deal with proof objects: the proofs of a given formula are in one-to-one correspondence with proofs in another system.
- Full completeness of derivations: comparisons deal with derivations (*i.e.*, open proofs, such as inference rules themselves): the derivations in one system are in one-to-one correspondence with those in another system.

### **Levels of Adequacy**

We identify three levels of adequacy:

- Relative completeness: comparisons deal only with provability: the two systems have the same theorems.
- Full completeness of proofs: comparisons deal with proof objects: the proofs of a given formula are in one-to-one correspondence with proofs in another system.
- Full completeness of derivations: comparisons deal with derivations (*i.e.*, open proofs, such as inference rules themselves): the derivations in one system are in one-to-one correspondence with those in another system.

We always obtain adequacy on the level of derivations.

# if all $\lfloor \cdot \rfloor$ and $\lceil \cdot \rceil$ (meta-level) atoms are negative

1) 
$$\Gamma \vdash_{lm} C$$
 iff  $\vdash \mathcal{L}_{lm}, \lfloor \Gamma \rfloor : \lceil C \rceil \uparrow$ 

2) 
$$\Gamma \vdash_{lj} C$$
 iff  $\vdash \mathcal{L}_{lj}, \lfloor \Gamma \rfloor : \lceil C \rceil \uparrow$ 

3) 
$$\Gamma \vdash_{lk} \Delta \text{ iff } \vdash \mathcal{L}_{lk}, \lfloor \Gamma \rfloor, \lceil \Delta \rceil : \uparrow$$

# if all [·] and [·] (meta-level) atoms are negative

- 1)  $\Gamma \vdash_{lm} C$  iff  $\vdash \mathcal{L}_{lm}, \lfloor \Gamma \rfloor : \lceil C \rceil \uparrow$
- 2)  $\Gamma \vdash_{lj} C$  iff  $\vdash \mathcal{L}_{lj}, \lfloor \Gamma \rfloor : \lceil C \rceil \uparrow$
- 3)  $\Gamma \vdash_{lk} \Delta \text{ iff } \vdash \mathcal{L}_{lk}, |\Gamma|, \lceil \Delta \rceil : \uparrow$

$$egin{aligned} \mathcal{L}_{lk} &= \mathcal{L} \cup \{ \operatorname{Id}_1, \operatorname{Id}_2, \operatorname{Str}_L, \operatorname{Str}_R \}, \ \mathcal{L}_{lm} &= \mathcal{L} \cup \{ \operatorname{Id}_1, \operatorname{Id}_2', \operatorname{Str}_L, \Rightarrow_L' \} \setminus \{ \bot_L, \Rightarrow_L \}, \ \mathcal{L}_{lj} &= \mathcal{L} \cup \{ \operatorname{Id}_1, \operatorname{Id}_2', \operatorname{Str}_L, \Rightarrow_L', W_R \} \setminus \{ \Rightarrow_L \}, \end{aligned}$$

# if all $\lfloor \cdot \rfloor$ and $\lceil \cdot \rceil$ (meta-level) atoms are negative

1) 
$$\Gamma \vdash_{lm} C$$
 iff  $\vdash \mathcal{L}_{lm}, \lfloor \Gamma \rfloor : \lceil C \rceil \uparrow$ 

2) 
$$\Gamma \vdash_{lj} C$$
 iff  $\vdash \mathcal{L}_{lj}, \lfloor \Gamma \rfloor : \lceil C \rceil \uparrow$ 

3) 
$$\Gamma \vdash_{lk} \Delta \text{ iff } \vdash \mathcal{L}_{lk}, |\Gamma|, \lceil \Delta \rceil : \uparrow$$

$$egin{aligned} \mathcal{L}_{lk} &= \mathcal{L} \cup \{ \mathbf{Id}_1, \mathbf{Id}_2, \mathsf{Str}_L, \mathsf{Str}_R \}, \ \mathcal{L}_{lm} &= \mathcal{L} \cup \{ \mathbf{Id}_1, \mathbf{Id}_2', \mathsf{Str}_L, \Rightarrow_L' \} \setminus \{ \perp_L, \Rightarrow_L \}, \ \mathcal{L}_{lj} &= \mathcal{L} \cup \{ \mathbf{Id}_1, \mathbf{Id}_2', \mathsf{Str}_L, \Rightarrow_L', W_R \} \setminus \{ \Rightarrow_L \}, \end{aligned}$$

We can also obtain a adequacy up to the level of derivations. For intuitionistic and minimal logics the ! is important.

$$\frac{\Gamma, A \Rightarrow B \vdash A \qquad \Gamma, A \Rightarrow B, B \vdash C}{\Gamma, A \Rightarrow B \vdash C}$$

$$\frac{\Gamma, A \Rightarrow B \vdash A \qquad \Gamma, A \Rightarrow B, B \vdash C}{\Gamma, A \Rightarrow B \vdash C}$$

$$\frac{ \left| \begin{array}{c} \vdash \mathcal{K} : \lceil A \rceil \uparrow \\ \vdash \mathcal{K} : \Downarrow \mid \lceil A \rceil \end{array} \right| \cdot \left| \begin{array}{c} \vdash \mathcal{K} : \lfloor B \rfloor, \lceil C \rceil \uparrow \\ \vdash \mathcal{K} : \Downarrow \mid \lceil A \rceil \end{array} \right| \cdot \left| \begin{array}{c} \vdash \mathcal{K} : \lfloor B \rfloor, \lceil C \rceil \uparrow \\ \vdash \mathcal{K} : \lceil C \rceil \Downarrow \mid \lceil A \rceil \otimes \lfloor B \rfloor \end{array} \right| \left| \begin{array}{c} \mid \mathcal{K} \mid \mathcal{K}$$

 $F \text{ is } \exists A \exists B \lfloor A \Rightarrow B \rfloor^{\perp} \otimes (\lceil A \rceil \otimes \lfloor B \rfloor)_{gg}$ 

$$\frac{\Gamma, A \Rightarrow B \vdash A \qquad \Gamma, A \Rightarrow B, B \vdash C}{\Gamma, A \Rightarrow B \vdash C}$$

$$[A \Rightarrow B] \in \mathcal{K}$$
is enforced
$$\frac{\vdash \mathcal{K} : \lceil A \rceil \uparrow}{\vdash \mathcal{K} : \downarrow \mid \lceil A \rceil} [!, R \uparrow] \qquad \frac{\vdash \mathcal{K} : \lfloor B \rfloor, \lceil C \rceil \uparrow}{\vdash \mathcal{K} : \lceil C \rceil \downarrow \mid \lfloor B \rfloor} [R \downarrow, R \uparrow]
}{\vdash \mathcal{K} : \lceil C \rceil \downarrow \mid \mid \lceil A \rceil \otimes \lfloor B \rfloor} [\otimes]$$

$$\frac{\vdash \mathcal{K} : \lceil C \rceil \downarrow \mathcal{F}}{\vdash \mathcal{K} : \lceil C \rceil \uparrow} [D_2]$$

 $F \text{ is } \exists A \exists B [A \Rightarrow B]^{\perp} \otimes (\lceil A \rceil \otimes \lfloor B \rfloor)_{100}$ 

$$\begin{array}{c|c} \Gamma, A \Rightarrow B \vdash A & \Gamma, A \Rightarrow B, B \vdash C \\ \hline \Gamma, A \Rightarrow B \vdash C & \text{to the right branch} \\ [A \Rightarrow B] \in \mathcal{K} \\ \text{is enforced} & \\ \hline \\ \vdash \mathcal{K} : \Downarrow [A \Rightarrow B]^{\perp} & \hline \\ [I_2] & \frac{\vdash \mathcal{K} : \lceil A \rceil \Uparrow}{\vdash \mathcal{K} : \Downarrow !\lceil A \rceil} \; [!, R \Uparrow] \; \frac{\vdash \mathcal{K} : \lfloor B \rfloor, \lceil C \rceil \Uparrow}{\vdash \mathcal{K} : \lceil C \rceil \Downarrow \lfloor B \rfloor} \; [R \Downarrow, R \Uparrow] \\ \hline \\ \vdash \mathcal{K} : \lceil C \rceil \Downarrow F \; [D_2] \\ \hline \\ \vdash \mathcal{K} : \lceil C \rceil \Uparrow \; [D_2] \end{array}$$

 $F \text{ is } \exists A \exists B [A \Rightarrow B]^{\perp} \otimes ([A] \otimes [B])$ 

Cut free proofs – remove the clause (ID<sub>2</sub>) from the theory:

## Cut free proofs – remove the clause (ID<sub>2</sub>) from the theory:

# if all $\lfloor \cdot \rfloor$ and $\lceil \cdot \rceil$ (meta-level) atoms are negative

1) 
$$\Gamma \vdash_{lm}^{f} C$$
 iff  $\vdash \mathcal{L}_{lm}^{f}, \lfloor \Gamma \rfloor : \lceil C \rceil \uparrow$   
2)  $\Gamma \vdash_{lj}^{f} C$  iff  $\vdash \mathcal{L}_{lj}^{f}, \lfloor \Gamma \rfloor : \lceil C \rceil \uparrow$   
3)  $\Gamma \vdash_{lk}^{f} \Delta$  iff  $\vdash \mathcal{L}_{lk}^{f}, \lfloor \Gamma \rfloor, \lceil \Delta \rceil : \uparrow$ 

It is possible to obtain an adequacy on the level of derivations.

#### **Natural Deduction [Sieg, Byrnes, 1998]**

$$\frac{\Gamma}{\Gamma, A \vdash_{nd} A \downarrow} [I] \quad \frac{\Gamma \vdash_{nd} F \uparrow \quad \Gamma \vdash_{nd} G \uparrow}{\Gamma \vdash_{nd} F \land G \uparrow} [\land I] \quad \frac{\Gamma \vdash_{nd} F \land G \downarrow}{\Gamma \vdash_{nd} F \downarrow} [\land E]$$

$$\frac{\Gamma, A \vdash_{nd} B \uparrow}{\Gamma \vdash_{nd} A \Rightarrow B \uparrow} [\Rightarrow I] \quad \frac{\Gamma \vdash_{nd} A \Rightarrow B \downarrow \quad \Gamma \vdash_{nd} A \uparrow}{\Gamma \vdash_{nd} B \downarrow} [\Rightarrow E] \quad \frac{\Gamma \vdash_{nd} f \uparrow}{\Gamma \vdash_{nd} f \uparrow} [tI]$$

$$\frac{\Gamma \vdash_{nd} A \{c/x\} \uparrow}{\Gamma \vdash_{nd} \forall x A \uparrow} [\forall I] \quad \frac{\Gamma \vdash_{nd} \forall x A \downarrow}{\Gamma \vdash_{nd} A \{t/x\} \downarrow} [\forall E] \quad \frac{\Gamma \vdash_{nd} A \downarrow}{\Gamma \vdash_{nd} A \uparrow} [M] \quad \frac{\Gamma \vdash_{nd} A \uparrow}{\Gamma \vdash_{nd} A \downarrow} [S]$$

#### **Natural Deduction [Sieg, Byrnes, 1998]**

$$\frac{\Gamma, A \vdash_{nd} A \downarrow}{\Gamma, A \vdash_{nd} A \downarrow} [I] \quad \frac{\Gamma \vdash_{nd} F \uparrow \quad \Gamma \vdash_{nd} G \uparrow}{\Gamma \vdash_{nd} F \land G \uparrow} [\land I] \quad \frac{\Gamma \vdash_{nd} F \land G \downarrow}{\Gamma \vdash_{nd} F \downarrow} [\land E]$$

$$\frac{\Gamma, A \vdash_{nd} B \uparrow}{\Gamma \vdash_{nd} A \Rightarrow B \uparrow} [\Rightarrow I] \quad \frac{\Gamma \vdash_{nd} A \Rightarrow B \downarrow}{\Gamma \vdash_{nd} B \downarrow} \quad [\Rightarrow E] \quad \frac{\Gamma \vdash_{nd} f \uparrow}{\Gamma \vdash_{nd} f \uparrow} [tI]$$

$$\frac{\Gamma \vdash_{nd} A \{c/x\} \uparrow}{\Gamma \vdash_{nd} \forall x A \uparrow} [\forall I] \quad \frac{\Gamma \vdash_{nd} \forall x A \downarrow}{\Gamma \vdash_{nd} A \{t/x\} \downarrow} [\forall E] \quad \frac{\Gamma \vdash_{nd} A \downarrow}{\Gamma \vdash_{nd} A \uparrow} [M] \quad \frac{\Gamma \vdash_{nd} A \uparrow}{\Gamma \vdash_{nd} A \downarrow} [S]$$

$$\Gamma \vdash_{nd} C \uparrow$$

$$\Gamma \vdash_{nd} C \downarrow$$

Useful to identify normal proofs, where the S rules is not allowed.

$$\vdash \Sigma, \lfloor \Gamma \rfloor : \lceil C \rceil \uparrow$$

$$\vdash \Sigma, \lfloor \Gamma \rfloor : \lfloor C \rfloor^{\perp} \Uparrow$$

## **Natural Deduction – including normal forms**

if all  $[\cdot]$  (meta-level) atoms are negative if all  $[\cdot]$  (meta-level) atoms are positive

1) 
$$\Gamma \vdash_{nj} C \uparrow$$
 iff  $\vdash \mathcal{L}_{lj}, \lfloor \Gamma \rfloor : \lceil C \rceil \uparrow$   
2)  $\Gamma \vdash_{nj}^{n} C \uparrow$  iff  $\vdash \mathcal{L}_{lj}^{f}, \lfloor \Gamma \rfloor : \lceil C \rceil \uparrow$   
3)  $\Gamma \vdash_{nj}^{n} C \downarrow$  iff  $\vdash \mathcal{L}_{lj}^{f}, \lfloor \Gamma \rfloor : \lfloor C \rfloor^{\perp} \uparrow$ 

An adequacy on the level of derivations can also be obtained.

## **Natural Deduction – including normal forms**

if all  $\lceil \cdot \rceil$  (meta-level) atoms are negative if all | · | (meta-level) atoms are positive

1) 
$$\Gamma \vdash_{nj} C \uparrow \text{ iff } \vdash \mathcal{L}_{lj}, \lfloor \Gamma \rfloor : \lceil C \rceil \uparrow$$

2) 
$$\Gamma \vdash_{nj}^{n} C \uparrow$$
 iff  $\vdash \mathcal{L}_{lj}^{f}, [\Gamma] : [C] \uparrow$   
3)  $\Gamma \vdash_{nj}^{n} C \downarrow$  iff  $\vdash \mathcal{L}_{lj}^{f}, [\Gamma] : [C]^{\perp} \uparrow$ 

3) 
$$\Gamma dash_{nj}^n C \!\downarrow ext{ iff } dash \mathcal{L}_{lj}^f, \lfloor \Gamma 
floor : \lfloor C 
floor^\perp \Uparrow$$

An adequacy on the level of derivations can also be obtained.

Since the polarity assignment a focused system does not affect provability, we obtain the following relative completeness result for free:

## Corollary

$$\Gamma \vdash_{lj} C \text{ iff } \Gamma \vdash_{nj} C \text{ and } \Gamma \vdash_{lj}^f C \text{ iff } \Gamma \vdash_{nj}^n C.$$

#### **Cut now becomes Switch Rule:**

$$\frac{\Gamma \vdash_{nd} C \uparrow}{\Gamma \vdash_{nd} C \downarrow} [S]$$

#### Other proof systems

In Chapter 4, we also deal with:

- Systems with generalized elimination and introduction rules
- the KE tableaux of D'Agostino and Mondadori, and
- a proof system of Smullyan with many axioms and with cut as the only inference rule.

## **My Thesis**

## **Exploiting non-canonicity in the Sequent Calculus**

- Polarity assignment of literals in focused systems
- Linear logic's exponentials

- Tabled deduction
- Logical frameworks
- Algorithmic specifications